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## Gradual Memory Safety

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Gradual Memory Safety

By

Jack Phillips

THESIS

Submitted to  
Northern Michigan University  
In partial fulfillment of the requirements  
For the degree of

MASTER OF SCIENCE

Office of Graduate Education and Research

May 2024

## SIGNATURE APPROVAL FORM

Gradual Memory Safety

This thesis by Jack Phillips, is recommended for approval by the student's Thesis Committee, the Department Head of the Department of Mathematics and Computer Science, and the Dean of Graduate Education and Research.

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## ABSTRACT

Gradual Memory Safety

By

Jack Phillips

This paper extends the theory of Gradual Types to include memory safe Region-Types and Region-Based Memory Management. It also makes advancements in the capabilities of Region-Based systems. Lastly, it presents the Švejk language and the Hašek Type System.

## DEDICATION

This thesis is dedicated to the memory of Dr. Jeff Horn.

## ACKNOWLEDGMENTS

Thank you to my parents for putting up with my shenanigans and encouraging me to pursue my education. Thank you to my professors and teachers who put up with messy assignments. This thesis is the product of a long list of great professors, teachers, and mentors. This thesis uses Chicago Style.

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## SYMBOLS AND ABBREVIATIONS

|                              |                                       |
|------------------------------|---------------------------------------|
| $\mapsto$                    | Maps To                               |
| $\Downarrow$                 | Evaluates To (Big-Step Semantics)     |
| $\longrightarrow$            | Evaluates To (Small-Step Semantics)   |
| $\exists$                    | Existential Quantifier (There exists) |
| $\forall$                    | For All                               |
| $\in$                        | in (contains)                         |
| $\notin$                     | not in (doesn't contain)              |
| $\wedge$                     | and (operation)                       |
| $\vee$                       | or (operation)                        |
| $/$                          | not (operation)                       |
| $\Gamma$                     | Simple Typing Context                 |
| $F_d, S_d, R_s, E_s$         | Typing Context 1                      |
| $F_d, S_d, H, H_m, E_s, R_s$ | Evaluation Context 1                  |
| $R, E$                       | Typing Context 2                      |
| $H, V$                       | Evaluation Context 2                  |

# 1 Introduction

Memory errors are one of the biggest issues concerning security. Microsoft, Google, and Apple report that memory errors cause approximately 60-70% of their security vulnerabilities.<sup>1</sup> Memory-safe languages and approaches have existed for decades, but they all have flaws. In recent years, Memory Safety, without garbage collectors, has become increasingly popular. Region-Based Memory Management is one of the most researched approaches. Traditional Region-Based Memory Systems are verbose and restrictive. They require users to manage regions manually and often require complex annotations. They also impose significant limitations on programs, such as disallowing cycles. This Paper extends Gradual Typing, a recent and popular approach to modern type systems, to Region-Based Memory Management. Specifically, terms typed alpha are dynamic and not stored in Regions, so they have more features and fewer restrictions. Also, I include extensive type inference to massively reduce type annotations that are normally mandatory. Lastly, I formalize it in one language, Švejk, under one cohesive type system, Hašek. More specifically, my results are: a unified System and Programming Language for Gradual, Safe Memory Management, an approach to references that voids restraints imposed by most region-based memory management type systems, a reduction in the number of required annotations required by Memory Safe Region-Based Type Systems, a rigorous explanation of the static and dynamic Semantics of core Švejk, a Small-step Operational Semantics and a rigorous proof of soundness.

---

1. “BACK TO THE BUILDING BLOCKS: A Path Toward Secure AND Measurable Software,” (accessed: 03.5.2024), <https://www.whitehouse.gov/wp-content/uploads/2024/02/Final-ONCD-Technical-Report.pdf>.

## 2 Basic Foundations

Gradually typed systems combine dynamic and static typing under one type system. Also, in Gradually Typed systems, type annotations are optional whenever possible. In essence, a Gradual Type System is a static type system with a special dynamic type. The special dynamic type acts like a supertype of all other typed expressions. In my system, I call this type alpha and type inference works by traversing the type rules.

```
1  struct str {something:alpha}
2
3  let x = 1; # valid, type is inferred as an int
4  x = 1; # valid
5  x = false; # invalid
6
7  let y: alpha = 1;
8  x = false; # valid
9  x = [1, 2, 3]; # valid
10
11 let z:[alpha] = [1, true, true]; # valid
12
13 z = str{something=x}; # not valid, alpha != [alpha]
14 z[1] = str{something=x}; # valid
```

Figure 1: Gradual Typing

In Figure 1, memory is allocated and deallocated automatically through regions. Region Types obfuscate memory management safely without using a garbage collector. Memory is allocated and freed through Regions, and the Type System guarantees that Region allocation and freeing are safe. Furthermore, Region Type Systems guarantee safe accessing and modifying memory, thus eliminating most memory errors. Simple regions correspond to lexical regions. However, there are more sophisticated dynamic regions where this isn't the case. So, the best way to think about Regions is through their definitions and encoding in the type system and semantics.

## 2.1 Notation

I describe most notation as it is needed. However, there is some ubiquitous notation that is used throughout, so I describe it here. Let  $M$  be some mapping,  $M: A \mapsto B$ . If  $a_1 \in A$  and  $b_1 \in B$ , define  $M' = M[b_1/a_1]$  such that  $M'(a_1) = b_1$ , and  $M'(a) = M(a)$  where  $a \in A$  and  $a \neq a_1$ . Also, if  $a_1 \in A$ ,  $b_1 \in B$  and  $M(a_1) = b_1$  define  $M' = M/a_1$ , such that  $a_1 \notin \text{domain}(M')$ , and  $M'(a) = M(a)$  where  $a \in A$  and  $a \neq a_1$ .

Inference Rules are of the form:

$$\text{(Inference-Rule)} \quad \frac{\textit{Premise}_1 \textit{Premise}_2 \dots \textit{Premise}_n}{\textit{Conclusion}_1 \textit{Conclusion}_2 \dots \textit{Conclusion}_m}$$

Figure 2: Inference Rules

For this paper, an inference rule consists of  $n$  premises and  $m$  conclusions. You can derive any of the  $m$  conclusions if all of the  $n$  premises are true. There might be zero premises, in which case the rule is an axiom.

## 3 Static Semantics

### 3.1 Foreword

Bertrand Russel devised a Theory of Types to rid formal logic and set theory of paradoxes in the early 20th century.<sup>2</sup> Over time, type theory found its way into programming language design. Type Systems are especially useful for ridding programming languages of errors. Specifically, a type system limits valid programs to programs for which a type can be derived from a set of rules. In other words, a type system only allows programs that type check. Furthermore, the rules ensure that if a program has an error, a type cannot be derived, so the program doesn't type check.

While there are other approaches to handling errors in programming languages, types are simple and precise. They are so simple a compiler can usually incorporate type checking with little overhead, catching errors efficiently before running the program. Traditional type systems are simple, and catch basic errors such as suming a string and an integer. However, type systems are far more powerful and can eliminate more sophisticated and subtle errors like memory errors. With more complex type systems, a formal explanation of the type system is more important to fully describe it and to show that it works. So, I give a formal presentation of the type system and semantics.

Lastly, my idea is original, as is my approach, but I didn't come up with type systems. I also didn't come up with Region-Based Memory Management or Gradual Typing. In short, while I comfortably claim these typing rules are my own, I took inspiration for them from a few places. See references: 2, 3, 4, 5, 8, 9 and 10.

---

2. Benjamin C. Pierce, “Types and programming languages” (MIT press, 2002).

## 3.2 Basics

Typing judgements are traditionally of the form  $\Gamma \vdash E : t$ , meaning in the typing context  $\Gamma$ ,  $E$  yields type  $t$ . However, more complex type systems require more complex typing contexts. For my thesis the typing context is:  $F_d, S_d, R_s, E_s$  and typing judgements are of the form  $F_d, S_d, R_s, E_s \vdash E : t$ , meaning in the typing context of a set of function definitions  $F_d$ , a set of structure definitions  $S_d$ , a set of regions  $R_s$  and a set of environments  $E_s$ ,  $E$  yields type  $t$ .

### 3.3 Types and Typing Context

$F_d : F_{id} \mapsto F_{id}(P_1 : T_2, P_2 : T_2, \dots, P_n : T_n) \rightarrow T$  ( $F_{id}$  is a function name,  $P_1, P_2, \dots, P_n$  are parameters,  $T_1, T_2, \dots, T_n$  are parameter types  $T$  is the return type).

$S_d : S_{id} \mapsto S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n)$  ( $S_{id}$  is the structure name,  $A_1, A_2, \dots, A_n$  are attribute names,  $T_1, T_2, \dots, T_n$  are attribute types).

$R_s = \{R_1, R_2, \dots, R_n\}, R_i : id \mapsto t$  (id is an identifier and t is a type)

$E_s = \{E_1, E_2, \dots, E_m\}, E_i : id \mapsto t$  (id is an identifier and t is a type)

Figure 3: Typing Context

Integers: int

Boolean: bool

Unsafe, Dynamic Type:  $\alpha$

Value Style References: \*ref(id, t)

Address Style References: ref(id, t)

List Types: [t] (t is a valid type)

Structure Types:  $S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n)$  ( $S_{id}$  is the structure name,  $A_1, A_2, \dots, A_n$  are attribute names,  $T_1, T_2, \dots, T_n$  are attribute types).

Function Type:  $F_{id}(P_1 : T_2, P_2 : T_2, \dots, P_n : T_n) \rightarrow T$  ( $F_{id}$  is a function name,  $P_1, P_2, \dots, P_n$  are parameters,  $T_1, T_2, \dots, T_n$  are parameter types  $T$  is the return type).

Safe Statement: void  $\times$  t (t is a valid type capturing the return)

Unsafe Statement:  $\alpha \times t$  (t is a valid type capturing the return)

Figure 4: Types of Types One

**Definition** A type t is safe if:

$t = \text{int or bool}$

$t = *ref(id, t')$  or  $t = ref(id, t')$  and  $t'$  is safe

$t = [t']$  and  $t'$  is safe

$S_d(t) = S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$  and  $t_i$  is safe  $\forall t \in t_1, t_2, \dots, t_n$

$t = void \times T$  and  $T$  is safe

**Definition** A type t is unsafe if:

$t = \alpha$

$t = *ref(id, t')$  or  $t = ref(id, t')$  and  $t'$  is unsafe

$t = [t']$  and  $t'$  is unsafe

$S_d(t) = S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$  and  $\exists t_i \in t_1, t_2, \dots, t_n$  such that  $t_i$  is unsafe

$t = \alpha \times T$

**Definition:** Subtyping:  $t_1 <: t_2$ ,  $t_1$  is a subtype of  $t_2$

Figure 5: Safe, Unsafe and Subtyping Definition

### 3.4 Definitions and Functions

**Definition:** `closeScope`  $\text{closeScope}(R_s, E_s) = R'_s, E'_s$

$R'_s = R_s$  without  $R \in R_s$ , where  $R' \succeq R$ ,  $\forall R' \in R_s$

$E'_s = E_s$  without  $E \in E_s$ , where  $E' \succeq E$ ,  $\forall E' \in E_s$

**Definition:** `newScope`

$\text{newScope}(Rs) = R'_s$  where  $Rs' = R_s$  with some new Region  $R = \emptyset$  such that  $R' \succeq R, \forall R' \in R_s$

$\text{newScope}(Es) = E'_s$  where  $Es' = E_s$  with some new Environment  $E = \emptyset$  such that  $E' \succeq E, \forall E' \in E_s$

**Definition:** `declare`

$\text{declare}(R_s, id, t) = R'_s, Rs' = R_s$  where  $R[t/id]$  for  $R = \text{find}(R_s, id)$

$\text{declare}(E_s, id, t) = E'_s, Es' = E_s$  where  $E[t/id]$  for  $E = \text{find}(E_s, id)$

**Definition:** `find`

$\text{find}(R_s, id) = R$ , where  $R' \succeq R$ ,  $\forall R' \in R_s$  such that  $R'(id) = t$  and  $R(id) = t$

$\text{find}(E_s, id) = E$ , where  $E' \succeq E$ ,  $\forall E' \in E_s$  such that  $E'(id) = t$  and  $E(id) = t$

**Definition:** `currentScope`

$\text{currentScope}(R_s, id) = R$ , where  $R' \succeq R$ ,  $\forall R' \in R_s$

$\text{currentScope}(E_s, id) = E$ , where  $E' \succeq E$ ,  $\forall E' \in E_s$

Figure 6: Type System Function Definitions

### 3.5 Type System

#### Simple Expressions

$$(\text{TS-E-1}) \frac{i \text{ is a valid integer}}{F_d, S_d, R_s, E_s \vdash i : \text{int}}$$

$$\frac{b \text{ is a valid boolean}}{F_d, S_d, R_s, E_s \vdash b : \text{bool}} (\text{TS-E-2})$$

$$(\text{TS-E-3}) \frac{\begin{array}{c} F_d, S_d, R_s, E_s \vdash b_1 : \text{bool} \quad F_d, S_d, R_s, E_s \vdash b_2 : \text{bool} \\ \text{op} \in \{\text{and}, \text{or}\} \end{array}}{F_d, S_d, R_s, E_s \vdash b_1 \text{ op } b_2 : \text{bool}}$$

$$(\text{TS-E-3a}) \frac{\begin{array}{c} F_d, S_d, R_s, E_s \vdash b_1 : t_1 \quad F_d, S_d, R_s, E_s \vdash b_2 : t_2 \\ (t_1 = \alpha \wedge t_2 = \text{bool}) \vee (t_2 = \alpha \wedge t_1 = \text{bool}) \vee t_1, t_2 = \alpha \\ \text{op} \in \{\text{and}, \text{or}\} \end{array}}{F_d, S_d, R_s, E_s \vdash b_1 \text{ op } b_2 : \alpha}$$

$$\frac{F_d, S_d, R_s, E_s \vdash b : \text{bool}}{F_d, S_d, R_s, E_s \vdash !b : \text{bool}} (\text{TS-E-4})$$

$$(\text{TS-E-4a}) \frac{F_d, S_d, R_s, E_s \vdash b : \alpha}{F_d, S_d, R_s, E_s \vdash !b : \alpha}$$

Figure 7: Simple Expression Typing Rules A

$$\frac{F_d, S_d, R_s, E_s \vdash i_1 : \text{int} \quad F_d, S_d, R_s, E_s \vdash i_2 : \text{int}}{F_d, S_d, R_s, E_s \vdash i_1 \text{ op } i_2 : \text{int}} \quad (\text{TS-E-5})$$

$$(\text{TS-E-5a}) \frac{\frac{F_d, S_d, R_s, E_s \vdash i_1 : t_1 \quad F_d, S_d, R_s, E_s \vdash i_2 : t_2}{\text{op} \in \{+, -, *\}} \quad (t_1 = \alpha \wedge t_2 = \text{int}) \vee (t_2 = \alpha \wedge t_1 = \text{int}) \vee t_1, t_2 = \alpha}{F_d, S_d, R_s, E_s \vdash i_1 \text{ op } i_2 : \alpha}$$

$$\frac{F_d, S_d, R_s, E_s \vdash i_1 : \text{int} \quad F_d, S_d, R_s, E_s \vdash i_2 : \text{int}}{F_d, S_d, R_s, E_s \vdash i_1 \text{ op } i_2 : \text{bool}} \quad (\text{TS-E-6})$$

$$(\text{TS-E-6a}) \frac{\frac{F_d, S_d, R_s, E_s \vdash i_1 : t_1 \quad F_d, S_d, R_s, E_s \vdash i_2 : t_2}{\text{op} \in \{<, >, ==\}} \quad (t_1 = \alpha \wedge t_2 = \text{int}) \vee (t_2 = \alpha \wedge t_1 = \text{int}) \vee t_1, t_2 = \alpha}{F_d, S_d, R_s, E_s \vdash i_1 \text{ op } i_2 : \alpha}$$

Figure 8: More Simple Expression Typing Rules A

## Variable Declaration

$$\begin{array}{c}
 \begin{array}{c}
 id \text{ is a valid identifier} \\
 id \notin currentScope(R_s) \cup currentScope(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : t \vee e = nil \\
 t \text{ is safe} \quad t \neq ref(id', t')
 \end{array} \\
 \text{(TS-D-1)} \frac{}{F_d, S_d, R_s, E_s \vdash let id : t = e; : void \times void} \\
 F_d, S_d, R_s, E_s \vdash let id = e; : void \times void
 \end{array}$$
  

$$\begin{array}{c}
 \begin{array}{c}
 id \text{ is a valid identifier} \\
 id \notin currentScope(R_s) \cup currentScope(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : ref(id', t) \\
 id \neq id' \quad t \text{ is safe}
 \end{array} \\
 \text{(TS-D-2)} \frac{}{F_d, S_d, R_s, E_s \vdash let id : t = e; : void \times void} \\
 F_d, S_d, R_s, E_s \vdash let id = e; : void \times void
 \end{array}$$
  

$$\begin{array}{c}
 \begin{array}{c}
 id \text{ is a valid identifier} \\
 id \notin currentScope(R_s) \cup currentScope(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : t \\
 t \text{ is unsafe} \quad t \neq ref(id', t')
 \end{array} \\
 \text{(TS-D-1a)} \frac{}{F_d, S_d, R_s, E_s \vdash let id : t = e; : \alpha \times void} \\
 F_d, S_d, R_s, E_s \vdash let id = e; : \alpha \times void
 \end{array}$$
  

$$\begin{array}{c}
 \begin{array}{c}
 id \text{ is a valid identifier} \\
 id \notin currentScope(R_s) \cup currentScope(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : ref(id', t) \\
 t \text{ is unsafe} \quad id \neq id'
 \end{array} \\
 \text{(TS-D-2a)} \frac{}{F_d, S_d, R_s, E_s \vdash let id : t = e; : \alpha \times void} \\
 F_d, S_d, R_s, E_s \vdash let id = e; : \alpha \times void \\
 F_d, S_d, R_s, E_s \vdash let id : \alpha = e; : \alpha \times void
 \end{array}$$

Figure 9: Variable Declaration Typing Rules

$$\begin{array}{c}
 \text{---} \\
 id \text{ is a valid identifier} \\
 id \notin currentScope(R_s) \cup currentScope(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : t \vee e = nil \\
 \text{(TS-D-1b)} \frac{t \neq ref(id', t')}{F_d, S_d, R_s, E_s \vdash let id : \alpha = e; : \alpha \times void}
 \end{array}$$

Figure 10: More Variable Declaration Typing Rules

## Assignment

$$\begin{array}{c}
 find(R_s, id) = R \quad R(id) = t \\
 F_d, S_d, R_s, E_s \vdash e : t \vee e = nil \\
 \hline
 (\text{TS-A-1}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash id = e ; : void \times void}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e : ref(id', t) \quad id \neq id' \\
 find(R_s, id) = R \quad find(R_s, id') = R' \\
 R(id) = R'(id') = t \quad R' \succeq R \\
 \hline
 (\text{TS-A-2}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash id = e ; : void \times void}
 \end{array}$$

$$\begin{array}{c}
 find(E_s, id) = E \\
 F_d, S_d, R_s, E_s \vdash e : t \vee e = nil \\
 \hline
 (\text{TS-A-1a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash id = e ; : \alpha \times void}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash ref(id', t) \quad id \neq id' \\
 find(E_s, id) = E \quad find(E_s, id') = E' \\
 \hline
 (\text{TS-V-A-2a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash id = e ; : \alpha \times void}
 \end{array}$$

## Reference

$$\begin{array}{c}
 find(R_s, id) = R \wedge R(id) = t \vee \\
 find(E_s, id) = E \wedge E(id) = t \\
 \hline
 (\text{TS-R-1}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash id : *ref(id, t)} \\
 F_d, S_d, R_s, E_s \vdash & \& id : ref(id, t) \\
 F_d, S_d, R_s, E_s \vdash ref\{id, a\} : ref(id, t) \quad *ref(id, t) <: t \\
 \hline
 (\text{TS-R-2}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash ref\{id, a\} : ref(id, t) \quad *ref(id, t) <: t}
 \end{array}$$

Figure 11: Other Variable Typing Rules A

## Scope

$$\begin{array}{c}
 \text{id is a valid identifier} \\
 id \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : t \\
 t \text{ is safe} \quad t \neq \text{ref}(id', t') \\
 R'_s = \text{declare}(R_s, id, t) \\
 F_d, S_d, R'_s, E \vdash S : t'' \times t''' \\
 \hline
 \text{(TS-S-1)} \frac{F_d, S_d, R_s, E \vdash \text{let } id : t = e; S : t'' \times t'''}{F_d, S_d, R_s, E \vdash \text{let } id = e; S : t'' \times t'''}
 \end{array}$$
  

$$\begin{array}{c}
 \text{id is a valid identifier} \\
 id \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : \text{ref}(id', t) \\
 id \neq id' \quad t \text{ is safe} \\
 R'_s = \text{declare}(R_s, id, t) \\
 F_d, S_d, R'_s, E \vdash S : t' \times t'' \\
 \hline
 \text{(TS-S-2)} \frac{F_d, S_d, R_s, E \vdash \text{let } id : t = e; S : t' \times t''}{F_d, S_d, R_s, E \vdash \text{let } id = e; S : t' \times t''}
 \end{array}$$
  

$$\begin{array}{c}
 \text{id is a valid identifier} \\
 id \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : t \\
 t \text{ is unsafe} \quad t \neq \text{ref}(id', t') \\
 E'_s = \text{declare}(E_s, id, t) \\
 F_d, S_d, R_s, E'_s \vdash S : t'' \times t''' \\
 \hline
 \text{(TS-S-1a)} \frac{F_d, S_d, R_s, E_s \vdash \text{let } id : t = e; S : \alpha \times t'''}{F_d, S_d, R_s, E_s \vdash \text{let } id = e; S : \alpha \times t'''} \\
 F_d, S_d, R_s, E_s \vdash \text{let } id = e; S : \alpha \times t''' \\
 F_d, S_d, R_s, E \vdash \text{let } id = \text{nil}; S : \alpha \times t'''
 \end{array}$$
  

$$\begin{array}{c}
 \text{id is a valid identifier} \\
 id \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
 F_d, S_d, R_s, E_s \vdash e : \text{ref}(id', t) \\
 t \text{ is unsafe} \quad id \neq id' \\
 E'_s = \text{declare}(E_s, id, t) \\
 F_d, S_d, R_s, E'_s \vdash S : t' \times t'' \\
 \hline
 \text{(TS-S-2a)} \frac{F_d, S_d, R_s, E_s \vdash \text{let } id : t = e; S : \alpha \times t''}{F_d, S_d, R_s, E_s \vdash \text{let } id = e; S : \alpha \times t''}
 \end{array}$$

Figure 12: Scope Typing Rules

$$\begin{array}{c}
\text{---} \\
\text{id is a valid identifier} \\
\text{id} \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
F_d, S_d, R_s, E_s \vdash e : \text{ref}(id', t) \\
t \text{ is unsafe} \quad id \neq id' \\
E'_s = \text{declare}(E_s, id, \alpha) \\
F_d, S_d, R_s, E'_s \vdash S : t' \times t'' \\
\hline
(\text{TS-S-2b}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{let } id : \alpha = e; S : \alpha \times t''} \\
\text{---} \\
\text{id is a valid identifier} \\
\text{id} \notin \text{currentScope}(R_s) \cup \text{currentScope}(E_s) \\
F_d, S_d, R_s, E_s \vdash e : t \vee e = \text{nil} \\
t \neq \text{ref}(id', t') \\
E'_s = \text{declare}(E_s, id, \alpha) \\
F_d, S_d, R_s, E'_s \vdash S : t'' \times t''' \\
\hline
(\text{TS-S-1b}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{let } id : \alpha = e; S : \alpha \times t'''}
\end{array}$$

Figure 13: More Scope Typing Rules

### Control Flow

$$\begin{array}{c}
 E'_s = \text{newScope}(E_s) \\
 R'_s = \text{newScope}(R_s) \\
 F_d, S_d, R_s, E_s \vdash b : \text{bool} \\
 F_d, S_d, R'_s, E'_s \vdash S_1 : \text{void} \times t \\
 F_d, S_d, R'_s, E'_s \vdash S_2 : \text{void} \times t \\
 \hline
 (\text{TS-C-1}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \text{void} \times t}
 \end{array}$$

$$\begin{array}{c}
 E'_s = \text{newScope}(E_s) \\
 R'_s = \text{newScope}(R_s) \\
 F_d, S_d, R_s, E_s \vdash b : t_1 \\
 F_d, S_d, R'_s, E'_s \vdash S_1 : t_2 \times t' \\
 F_d, S_d, R'_s, E'_s \vdash S_2 : t_3 \times t'' \\
 (t_1 = \text{bool} \vee t_1 = \alpha) \wedge t' \neq t'' \\
 \hline
 (\text{TS-C-1a}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \alpha \times \alpha}
 \end{array}$$

$$\begin{array}{c}
 E'_s = \text{newScope}(E_s) \\
 R'_s = \text{newScope}(R_s) \\
 F_d, S_d, R_s, E_s \vdash b : t_1 \\
 F_d, S_d, R'_s, E'_s \vdash S_1 : t_2 \times t' \\
 F_d, S_d, R'_s, E'_s \vdash S_2 : t_2 \times t' \\
 t_1 = \alpha \vee (t_1 = \text{bool} \wedge t_2 = \alpha) \\
 \hline
 (\text{TS-C-1b}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \alpha \times t'}
 \end{array}$$

$$\begin{array}{c}
 E'_s = \text{newScope}(E_s) \\
 R'_s = \text{newScope}(R_s) \\
 F_d, S_d, R_s, E_s \vdash b : \text{bool} \\
 F_d, S_d, R'_s, E'_s \vdash S : \text{void} \times t \\
 \hline
 (\text{TS-C-2}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{while } b \{S\} : \text{void} \times t}
 \end{array}$$

$$\begin{array}{c}
 E'_s = \text{newScope}(E_s) \\
 R'_s = \text{newScope}(R_s) \\
 F_d, S_d, R_s, E_s \vdash b : t \\
 F_d, S_d, R'_s, E'_s \vdash S : t' \times t'' \\
 t = \alpha \vee (t = \text{bool} \wedge t' = \alpha) \\
 \hline
 (\text{TS-C-2a}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{while } b \{S\} : \alpha \times t''}
 \end{array}$$

Figure 14: Control Flow Statement Typing Rules

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash S_1 : t \times t' \\
 F_d, S_d, R_s, E_s \vdash S_2 : t \times t' \\
 S_1 \text{ isn't a let statement} \\
 \hline
 \text{(TS-C-3)} \quad \frac{}{F_d, S_d, R_s, E_s \vdash S_1 \ S_2 : t \times t'}
 \end{array}$$
  

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash S_1 : t_1 \times t' \\
 F_d, S_d, R_s, E_s \vdash S_2 : t_2 \times t'' \\
 t_1 = \alpha \vee t_2 = \alpha \vee t' \neq t'' \\
 S_1 \text{ isn't a let statement} \\
 \hline
 \text{(TS-C-3a)} \quad \frac{}{F_d, S_d, R_s, E_s \vdash S_1 \ S_2 : \alpha \times \alpha}
 \end{array}$$

Figure 15: More Control Flow Statement Typing Rules

### Other Statements

$$(TS\text{-}R\text{-}1) \frac{F_d, S_d, R_s, E_s \vdash e : t \quad t \text{ is safe}}{F_d, S_d, R_s, E_s \vdash \text{return } e; : void \times t}$$

$$\frac{F_d, S_d, R_s, E_s \vdash e : t \quad t \text{ is unsafe}}{F_d, S_d, R_s, E_s \vdash \text{return } e; : \alpha \times t} (TS\text{-}R\text{-}1a)$$

$$(TS\text{-}O\text{-}1) \frac{F_d, S_d, R_s, E_s \vdash e : t \quad t \text{ is safe}}{F_d, S_d, R_s, E_s \vdash e; : void \times void}$$

$$\frac{F_d, S_d, R_s, E_s \vdash e : t \quad t \text{ is unsafe}}{F_d, S_d, R_s, E_s \vdash e; : \alpha \times void} (TS\text{-}O\text{-}1a)$$

$$\frac{F_d, S_d, R_s, E_s \vdash e : ref(id', t) \quad t \text{ is unsafe}}{F_d, S_d, R_s, E_s \vdash free(e); : \alpha \times void} (TS\text{-}O\text{-}2)$$

Figure 16: Other Statement Typing Rules

## Function Definitions

$$\begin{array}{c}
 F_d(F_{id}) = F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r \\
 \quad P_1, P_2, \dots, P_n \text{ are unique} \\
 \quad \forall t \in T_1, T_2, \dots, T_n, T_r : t \text{ is safe} \\
 \quad R' = \{P_1 : T_1, P_2 : T_2, \dots, P_n : T_n\} \\
 \quad R'_s = \{R'\} \\
 F_d, S_d, R'_s, E_s \vdash S_1 S_2 \dots S_j \text{ return } e; : void \times T' \\
 \quad T' = T_r \wedge T' \neq \text{ref}(id, T'') \\
 j, n \geq 0 \\
 \hline
 (\textbf{TS-F-1}) \frac{}{F_d, S_d, R_s, E_s \vdash \text{def } F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow \\
 T_r \{S_1 S_2 \dots S_j \text{ return } e;\} : F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r}
 \end{array}$$
  

$$\begin{array}{c}
 F_d(F_{id}) = F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r \\
 \quad P_1, P_2, \dots, P_n \text{ are unique} \\
 \quad \forall t \in T_{a1}, T_{a2}, \dots, T_{ab} : t \text{ is safe} \\
 \quad \forall t \in T_{c1}, T_{c2}, \dots, T_{cd}, T_r : t \text{ is unsafe} \\
 \quad b, d, n \geq 0 \quad b + d = n \\
 T_{a1}, T_{a2}, \dots, T_{ab} \cup T_{c1}, T_{c2}, \dots, T_{cd} = T_1, T_2, \dots, T_n \\
 \quad R' = \{P_{a1} : T_{a1}, P_{a2} : T_{a2}, \dots, P_{ab} : T_{ab}\} \\
 \quad E' = \{P_{c1} : T_{c1}, P_{c2} : T_{c2}, \dots, P_{cd} : T_{cd}\} \\
 \quad R'_s = \{R'\} \quad E'_s = \{E'\} \\
 F_d, S_d, R'_s, E'_s \vdash S_1 S_2 \dots S_j \text{ return } e; : \alpha \times T'_r \\
 \quad T_r = \alpha \vee T'_r = T_r \\
 \hline
 (\textbf{TS-F-1a}) \frac{}{F_d, S_d, R_s, E \vdash \text{def } F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow \\
 T_r \{S_1 S_2 \dots S_j \text{ return } e;\} : F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r}
 \end{array}$$

Figure 17: Function Definition Typing Rules

### Function Call

$$\begin{aligned}
 F_d(F_{id}) &= F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T \\
 (F_d, S_d, R_s, E_s \vdash arg_1 : T_1) \vee (F_d, S_d, R_s, E_s \vdash arg_1 : T'_1 \wedge T_1 = \alpha) \vee \\
 &\quad (F_d, S_d, R_s, E_s \vdash arg_1 : ref(id, T_1)) \vee arg_1 = nil \\
 (F_d, S_d, R_s, E_s \vdash arg_2 : T_2) \vee (F_d, S_d, R_s, E_s \vdash arg_2 : T'_2 \wedge T_2 = \alpha) \vee \\
 &\quad (F_d, S_d, R_s, E_s \vdash arg_2 : ref(id, T_2)) \vee arg_2 = nil \\
 &\quad \vdots \\
 (F_d, S_d, R_s, E_s \vdash arg_n : T_n) \vee (F_d, S_d, R_s, E_s \vdash arg_n : T'_n \wedge T_n = \alpha) \vee \\
 &\quad (F_d, S_d, R_s, E_s \vdash arg_n : ref(id, T_n)) \vee arg_n = nil \\
 (\text{TS-F-2}) \quad &\frac{}{F_d, S_d, R_s, E_s \vdash F_{id}(arg_1, arg_2, \dots, arg_n) : F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T}
 \end{aligned}$$

Figure 18: Function Call Typing Rules

### Structure Definition

$$\begin{aligned}
 S_d(S_{id}) &= S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 &\quad A_1, A_2, \dots, A_n \text{ are unique} \\
 &\quad \forall t \in T_1, T_2, \dots, T_n : t \text{ is safe} \vee t \text{ is unsafe} \\
 (\text{TS-St-1}) \quad &\frac{n \geq 1}{\begin{array}{c} F_d, S_d, R_s, E_s \vdash struct\ S_{id}\{A_1 : T_1, A_2 : T_2, \dots, A_n : T_n\} : \\ S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \end{array}}
 \end{aligned}$$

Figure 19: Structure Definition Typing Rules

### Structure Literal

$$\begin{array}{c}
 S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 F_d, S_d, R_s, E_s \vdash e_1 : T'_1 \wedge T'_1 = T_1 \vee T_1 = \alpha \\
 F_d, S_d, R_s, E_s \vdash e_2 : T'_2 \wedge T'_2 = T_2 \vee T_2 = \alpha \\
 \vdots \\
 F_d, S_d, R_s, E_s \vdash e_n : T'_n \wedge T'_n = T_n \vee T_n = \alpha \\
 \hline
 (\textbf{TS-St-2}) \frac{}{F_d, S_d, R_s, E_s \vdash S_{id}\{A_1 = e_1, A_2 = e_2, \dots, A_n = e_n\} : S_{id}}
 \end{array}$$

Figure 20: Structure Literal Typing Rules

## Structure Assignment

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', S_{id}) \\
 S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 \forall t \in T_1, T_2, \dots T_n : t \text{ is safe} \quad id = A_i \in A_1, A_2, \dots A_n \\
 \text{(TS-St-3)} \frac{F_d, S_d, R_s, E_s \vdash e_2 : T_i \quad T_i \neq ref(id'', t)}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : void \times void} \\
 \\ 
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', S_{id}) \\
 S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 \forall t \in T_1, T_2, \dots T_n : t \text{ is safe} \quad id = A_i \in A_1, A_2, \dots A_n \\
 F_d, S_d, R_s, E_s \vdash e_2 : ref(id'', T_i) \\
 \text{(TS-St-3a)} \frac{find(R_s, id') = R \quad find(R_s, id'') = R' \quad R' \succeq R}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : void \times void} \\
 \\ 
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', S_{id}) \\
 S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 id = A_i \in A_1, A_2, \dots A_n \quad T_i \text{ is unsafe} \\
 \text{(TS-St-3b)} \frac{F_d, S_d, R_s, E_s \vdash e_2 : T'_i \quad T'_i = T_i \vee T_i = \alpha \quad T'_i \neq ref(id'', t)}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : \alpha \times void} \\
 \\ 
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', S_{id}) \\
 S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 id = A_i \in A_1, A_2, \dots A_n \quad T_i \text{ is unsafe} \\
 \text{(TS-St-3c)} \frac{F_d, S_d, R_s, E_s \vdash e_2 : ref(id', T'_i) \quad T_i = \alpha \vee T'_i = T_i}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : \alpha \times void} \\
 \\ 
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', \alpha) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t \\
 t \neq ref(id'', t') \\
 \text{(TS-St-3d)} \frac{}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : \alpha \times void}
 \end{array}$$

Figure 21: Structure Assignment Typing Rules

### Structure Assignment

$$\frac{\begin{array}{c} F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', \alpha) \\ F_d, S_d, R_s, E_s \vdash e_2 : ref(id'', t) \\ t \text{ is unsafe} \end{array}}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : \alpha \times void} \text{ (TS-St-3e)}$$

Figure 22: More Structure Assignment Typing Rules

### Structure Attribute References

$$\begin{array}{c}
 \frac{\begin{array}{c} F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', S_{id}) \\ S_d(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\ id = A_i \in A_1, A_2, \dots, A_n \end{array}}{F_d, S_d, R_s, E_s \vdash e_1.id : *ref(id', T_i)} \\
 \text{(TS-St-4)} - \frac{F_d, S_d, R_s, E_s \vdash e_1.id : *ref(id', T_i)}{F_d, S_d, R_s, E_s \vdash \&e_1.id : ref(id', T_i)} \\
 \\ 
 \text{(TS-St-5)} \frac{F_d, S_d, R_s, E_s \vdash e_1 : *ref(id', \alpha)}{F_d, S_d, R_s, E_s \vdash e_1.id : \alpha}
 \end{array}$$

Figure 23: Structure Reference Typing Rules

## Global Definitions

$$\begin{array}{l}
T'_1 = F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1} \\
T'_2 = F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2} \\
\vdots \\
T'_j = F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj} \\
T'_{j+1} = S_{id1}(A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}) \\
T'_{j+2} = S_{id2}(A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}) \\
\vdots \\
T'_{j+k} = S_{idk}(A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}) \\
F_{d1} = F_d[T'_1 / F_{id1}][T'_2 / F_{id2}] \dots, [T'_j / F_{idj}] \\
S_{d1} = S_d[T'_{j+1} / S_{id1}][T'_{j+2} / S_{id2}] \dots, [T'_{j+k} / S_{idk}] \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{def } F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1} \{S_{11}S_{12}\dots S_{1x_1} \text{return } e_1;\} : T'_1 \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{def } F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2} \{S_{21}S_{22}\dots S_{2x_2} \text{return } e_2;\} : T'_2 \\
\vdots \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{def } F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj} \{S_{j1}S_{j2}\dots S_{jx_j} \text{return } e_j;\} : T'_j \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{struct } S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} : T'_{j+1} \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{struct } S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} : T'_{j+2} \\
\vdots \\
F_{d1}, S_{d1}, R_s, E_s \vdash \text{struct } S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S : T'_{j+k} \\
F_{d1}, S_{d1}, R_s, E_s \vdash S : t \\
\begin{array}{c} 0 \leq j, k \\ 0 \leq n_1, n_2, \dots, n_j \\ 0 \leq x_1, x_2, x_3, \dots, x_j \\ 0 \leq m_1, m_2, \dots, m_k \end{array} \\
S_{id} \text{ are unique } F_{id} \text{ are unique} \\
\text{(TS-G-1)} \quad \frac{A_i, P_i \text{ are unique in the context of their definitions}}{F_d, S_d, R_s, E_s \vdash \text{def } F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1} \{S_{11}S_{12}\dots S_{1x_1} \text{return } e_1;\} \\
\text{def } F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2} \{S_{21}S_{22}\dots S_{2x_2} \text{return } e_2;\}} \\
\vdots \\
\text{def } F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj} \{S_{j1}S_{j2}\dots S_{jx_j} \text{return } e_j;\} \\
\text{struct } S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} \\
\text{struct } S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} \\
\vdots \\
\text{struct } S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S : t
\end{array}$$

Figure 24: Global Definitions Typing Rules A

### List Literals

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : t \\
 F_d, S_d, R_s, E_s \vdash e_1 : t \\
 \vdots \\
 F_d, S_d, R_s, E_s \vdash e_n : t \\
 t \neq \text{ref}(id, t') \\
 \hline
 (\text{TS-L-1}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash [e_1, e_2, \dots, e_n] : [t]} \\
 \\[10pt]
 F_d, S_d, R_s, E_s \vdash e_1 : t_1 \\
 F_d, S_d, R_s, E_s \vdash e_1 : t_2 \\
 \vdots \\
 F_d, S_d, R_s, E_s \vdash e_n : t_n \\
 \forall t \in t_1, t_2, \dots, t_n : t \neq \text{ref}(id, t') \\
 \exists t, t' \in t_1, t_2, \dots, t_n : t \neq t' \vee t_i \text{ is unsafe} \\
 \hline
 F_d, S_d, R_s, E_s \vdash [e_1, e_2, \dots, e_n] : [\alpha] \quad (\text{TS-L-1a})
 \end{array}$$

Figure 25: List Literal Typing Rules

### List Accessing and Modifying

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t' \\
 t' \neq ref(id', t'') \\
 \text{(TS-L-O-1)} \frac{t = \alpha \vee (t \text{ is unsafe} \wedge t = [t'])}{F_d, S_d, R_s, E_s \vdash e_1 << e_2 ; : \alpha \times void}
 \end{array}$$

$$\frac{F_d, S_d, R_s, E_s \vdash e : *ref(id, \alpha)}{F_d, S_d, R_s, E_s \vdash e! : \alpha} \text{ (TS-L-2)}$$

$$\frac{\begin{array}{c} F_d, S_d, R_s, E_s \vdash e : *ref(id, [t]) \\ t \neq ref(id', t') \quad t \text{ is unsafe} \end{array}}{F_d, S_d, R_s, E_s \vdash e! : t} \text{ (TS-L-2a)}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t' \\
 t \neq ref(id', t'') \quad (t' = int \wedge t \text{ is safe}) \vee \\
 ((t' = int \vee t' = \alpha) \wedge t \text{ is unsafe}) \\
 \text{(TS-L-3)} \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2] : *ref(id, t)} \\
 F_d, S_d, R_s, E_s \vdash \&e_1[e_2] : ref(id, t)
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t' \\
 t' = int \vee t' = \alpha \\
 t = \alpha \vee (t' = \alpha \wedge t \text{ is safe} \wedge t = [t'']) \\
 \text{(TS-L-3a)} \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2] : *ref(id, \alpha)} \\
 F_d, S_d, R_s, E_s \vdash \&e_1[e_2] : ref(id, \alpha)
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
 F_d, S_d, R_s, E_s \vdash e_2 : int \\
 F_d, S_d, R_s, E_s \vdash e_3 : t \\
 t \text{ is safe} \quad t \neq ref(id', t') \\
 \text{(TS-L-4)} \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3 : void \times void}
 \end{array}$$

Figure 26: List Accessing and Modifying Typing Rules

$$\frac{
\begin{array}{c}
F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
F_d, S_d, R_s, E_s \vdash e_2 : t' \\
F_d, S_d, R_s, E_s \vdash e_3 : t'' \\
t' = \alpha \vee t' = int \\
t = \alpha \vee t = [t'''] \wedge t'' = t''' \wedge \\
t \text{ is safe} \wedge t' = \alpha \vee t \text{ is unsafe}
\end{array}
}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3 : \alpha \times void} \text{ (TS-L-4a)}$$

$$\frac{
\begin{array}{c}
F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
F_d, S_d, R_s, E_s \vdash e_2 : int \\
F_d, S_d, R_s, E_s \vdash e_3 : ref(id', t) \\
t \text{ is safe} \\
find(R_s, id) = R \quad find(R_s, id') = R' \\
R' \succeq R
\end{array}
}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3 : void \times void} \text{ (TS-L-5)}$$

$$\frac{
\begin{array}{c}
F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
F_d, S_d, R_s, E_s \vdash e_2 : t' \\
F_d, S_d, R_s, E_s \vdash e_3 : ref(id', t'') \\
find(E_s, id) = E \quad find(E_s, id') = E' \\
t' = \alpha \vee int \\
t = \alpha \vee (t \text{ is unsafe} \wedge t = [t'']) \\
t = \alpha \vee t = [t'']
\end{array}
}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3 : \alpha \times void} \text{ (TS-L-5a)}$$

Figure 27: More List Accessing and Modifying Typing Rules

### Other List Operations

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e : *ref(id, [t]) \\
 t \text{ is safe} \\
 \hline
 (\text{TS-L-6}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash \#e : int} \\
 F_d, S_d, R_s, E_s \vdash e\# : int
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e : *ref(id, t) \\
 t = \alpha \vee (t \text{ is unsafe} \wedge t = [t']) \\
 \hline
 F_d, S_d, R_s, E_s \vdash \#e : \alpha \\
 F_d, S_d, R_s, E_s \vdash e\# : \alpha
 \end{array} \quad (\text{TS-L-6a})$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
 F_d, S_d, R_s, E_s \vdash e_2 : ref(id', [t']) \\
 \hline
 (\text{TS-L-7}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1 + e_2 : [t]}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
 F_d, S_d, R_s, E_s \vdash e_2 : ref(id', t') \\
 (t = \alpha \wedge t' = [t'']) \vee (t = [t''] \wedge t' = \alpha) \vee t' = t'' = \alpha \\
 \hline
 F_d, S_d, R_s, E_s \vdash e_1 + e_2 : \alpha
 \end{array} \quad (\text{TS-L-7a})$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
 F_d, S_d, R_s, E_s \vdash e_2 : int \\
 F_d, S_d, R_s, E_s \vdash e_3 : int \\
 t \text{ is safe} \\
 \hline
 (\text{TS-L-8}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2 : e_3] : t}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, [t]) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t' \\
 F_d, S_d, R_s, E_s \vdash e_2 : t'' \\
 (t' = int \vee t' = \alpha) \wedge (t'' = int \vee t'' = \alpha) \\
 t \text{ is unsafe} \\
 \hline
 (\text{TS-L-8a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2 : e_3] : t}
 \end{array}$$

Figure 28: Other List Operation Typing Rules

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : *ref(id, t) \\
 F_d, S_d, R_s, E_s \vdash e_2 : t' \\
 F_d, S_d, R_s, E_s \vdash e_2 : t'' \\
 (t' = int \vee t' = \alpha) \wedge (t'' = int \vee t'' = \alpha) \\
 t = \alpha \vee t = [t] \\
 t = \alpha \vee t' = \alpha \vee t'' = \alpha \\
 \hline
 (\text{TS-L-8b}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2 : e_3] : \alpha}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : t \\
 F_d, S_d, R_s, E_s \vdash e_2 : *ref(id, [t]) \\
 t \text{ is safe} \\
 \hline
 (\text{TS-L-9}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1 \text{ in } e_2 : bool}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, R_s, E_s \vdash e_1 : t \\
 F_d, S_d, R_s, E_s \vdash e_2 : *ref(id, t') \\
 t = \alpha \vee t = int \\
 t' = \alpha \vee t' = [t''] \\
 t = \alpha \vee t' = \alpha \vee (t' = [t''] \wedge t' \text{ is unsafe}) \\
 \hline
 (\text{TS-L-9a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1 \text{ in } e_2 : \alpha}
 \end{array}$$

$$\begin{array}{c}
 id \text{ is a valid id} \\
 F_d, S_d, R_s, E_s \vdash e : [t] \\
 t \text{ is safe} \\
 E'_s = newScope(E_s) \\
 R'_s = declare(newScope(R_s), id, t) \\
 F_d, S_d, R'_s, E'_s \vdash S : void \times t' \\
 \hline
 (\text{TS-C-4}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash for id \text{ in } e \{S\} : void \times t'}
 \end{array}$$

$$\begin{array}{c}
 id \text{ is a valid id} \\
 F_d, S_d, R_s, E_s \vdash e : [t] \\
 E'_s = declare(newScope(E_s), id, t) \\
 R'_s = newScope(R_s) \\
 F_d, S_d, R'_s, E'_s \vdash S : t' \times t'' \\
 t \text{ is unsafe} \vee t' = \alpha \\
 \hline
 (\text{TS-C-4a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash for id \text{ in } e \{S\} : \alpha \times t''}
 \end{array}$$

Figure 29: More Other List Operations

$$\frac{\begin{array}{c} id \text{ is a valid id} \\ F_d, S_d, R_s, E_s \vdash e : \alpha \\ E'_s = declare(newScope(E_s), id, \alpha) \\ R'_s = newScope(R_s) \\ F_d, S_d, R'_s, E'_s \vdash S : t' \times t'' \end{array}}{F_d, S_d, R_s, E_s \vdash \text{for } id \text{ in } e \{S\} : \alpha \times t''} \text{ (TS-C-4b)}$$

Figure 30: More Other List Operations

## 4 Dynamic Semantics

### 4.1 Foreword

While this thesis focuses mainly on a type system, it's hard to talk about static semantics without also describing the dynamic semantics. I define two sets of semantics for this thesis: a Big-Step and a Small-Step operational semantics to rigorously describe the language and rigorously prove that the type system works. Most papers only do one. I chose big-step operational semantics because they rigorously describe the inner workings of the language while still being relatively easy to read (at least compared to other semantic approaches). Later, I describe a small-step operational semantics for a reduced set of the language so I can rigorously prove soundness and safety. There are a few important things to note. Firstly, I designed the semantics to handle programs that type-check, meaning programs deduced from the type rules. Secondly, I don't include errors in the Big-Step Semantics. Recall the system has safe and unsafe sides, and errors are a part of the unsafe side. Programs with errors in them cannot be derived. Errors are important for proofs but are otherwise uninteresting, so I only include errors in the Small-Step Semantics. Similarly to the typing rules, here is a list of the citations that influenced the semantic rules the most: 3, 4, 5, 8, 9, and 11.

### 4.2 Basics

Semantic rules are of the form:  $F_d, S_d, H, H_m, R_s, E_s \vdash E \Downarrow H', H'_m, R'_s, E'_s, v, R_v$ , meaning, in the context of function definitions  $F_d$ , structure definitions  $S_d$ , heap  $H$ , heap-mapping  $H_m$ , region set  $R_s$ , environment set  $E_s$ , the statement  $E$ , yields a new heap  $H'$ , a new heap mapping  $H'_m$ , new region set  $R'_s$ , new environment set  $E'_s$ , a value  $v$  and return  $R_v$ . If a statement yields no value,  $v = \_\_$  and if a statement yields no return  $R_v = \_\_$ .

### 4.3 Evaluation Context

$F_d : F_{id} \mapsto F_{id}(P_1 : T_2, P_2 : T_2, \dots, P_n : T_n) \rightarrow T\{S_1 S_2 \dots S_m \text{ return } e; \}$  ( $F_{id}$  is a function name,  $P_1, P_2, \dots, P_n$  are parameters,  $T_1, T_2, \dots, T_n$  are parameter types,  $S_1 S_2 \dots S_m \text{ return } e;$  are statements).

$S_d : S_{id} \mapsto S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n)$  ( $S_{id}$  is the structure name,  $A_1, A_2, \dots, A_n$  are attribute names,  $T_1, T_2, \dots, T_n$  are attribute types).

$H : l \mapsto v$  ( $l$  is a location and  $v$  a some value)

$H_m : a \mapsto l$  ( $l$  is a location and  $a$  is an address)

$R_s : id \mapsto a, l$  ( $l$  is a location and  $id$  is an identifier)

$E_s : id \mapsto a$  ( $id$  is an identifier and  $a$  is an address)

Figure 31: Evaluation Context

### 4.4 Addresses and Locations

Addresses and locations emulate memory addresses. I don't provide a precise definition because it's not important; they are devices to describe mappings and nothing more. However, I do precisely describe their use in the definitions and rules. Lastly, I use both addresses and locations because they make expressing references easier. This is also the purpose of the heap-mapping in the evaluation context.

## 4.5 Types of Values

nil evaluates to: nil

Integers evaluate to:  $\text{int}\{i\}$ , where i is some integer

Booleans evaluate to:  $\text{bool}\{b\}$ , where b is some boolean

References evaluate to: \*ref{id, a} and ref{id, a}

Lists:  $[a_1, a_2, \dots, a_n, \{l_1, l_2, \dots, l_m\}]$

Structures are of the form:  $S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_m\})$ ,  $A_1, A_2, \dots, A_n$  are attribute names,  $a_1, a_2, \dots, a_n$  are addressed where  $a_i \in \text{domain}(H_m)$

Figure 32: Evaluation Context

## 4.6 Regions and Environments

**Definition:** `closeScope`  $\text{closeScope}(R_s, E_s, H, H_m) = R'_s, E'_s, H', H'_m$

$R'_s = R_s$  without  $R \in R_s$ , where  $R' \succeq R$ ,  $\forall R' \in R_s$

$E'_s = E_s$  without  $E \in E_s$ , where  $E' \succeq E$ ,  $\forall E' \in E_s$

$H' = \text{dealloc}(H, H_m(id_1), H_m(id_2), \dots, H_m(id_n))$  where  $id_1, id_2, \dots, id_n \in \text{domain}(R)$

$H'_m = H_m/id_1/id_2 \dots /id_n/id'_1/id'_2 \dots /id'_m$  where  $id_1, id_2, \dots, id_n \in \text{domain}(R)$  and  $id'_1, id'_2, \dots, id'_m \in \text{domain}(E)$

**Definition:** `newScope`

$\text{newScope}(Rs) = R'_s$  where  $Rs' = R_s$  with some new Region  $R = \emptyset$  such that  $R' \succeq R, \forall R' \in R_s$

$\text{newScope}(Es) = E'_s$  where  $Es' = E_s$  with some new Environment  $E = \emptyset$  such that  $E' \succeq E, \forall E' \in E_s$

**Definition:** `declare`

$\text{declare}(R_s, id, a, l) = R'_s, Rs' = R_s$  where  $R[(a, l)/id]$  for  $R = \text{find}(R_s, id)$

$\text{declare}(E_s, id, a) = E'_s, Es' = E_s$  where  $E[a/id]$  for  $E = \text{find}(E_s, id)$

**Definition:** `find`

$\text{find}(R_s, id) = R$ , where  $R' \succeq R$ ,  $\forall R' \in R_s$  such that  $R'(id) = (a, l)$  and  $R(id) = (a, l)$

$\text{find}(E_s, id) = E$ , where  $E' \succeq E$ ,  $\forall E' \in E_s$  such that  $E'(id) = a$  and  $R(id) = (a, l)$

Figure 33: Region and Environment Evaluation Functions

## 4.7 Memory

**Definition:** `alloc`  $\text{alloc}(H, v) = H', l$ , where  $H' = H[v/l]$  and  $l \notin \text{domain}(H)$

**Definition:** `realloc`  $\text{realloc}(H, v) = H', l$ , where  $H(l) = v'$  and  $H' = H[v''/l]$ . The value of  $v''$  depends on  $v$  and  $v'$ :

If  $v = \text{nil}, \text{int}\{i\}$  or  $v = \text{bool}\{b\}$ , then  $v'' = v$ .

If  $v = S_{id}(\dots\{l_1, l_2, \dots, l_n\})$  and  $v' = S_{id}(\dots\{l'_1, l'_2, \dots, l'_n\})$ , then  $v'' = S_{id}(\dots\{l_1, l_2, \dots, l_n, l'_1, l'_2, \dots, l'_n\})$ .

If  $v = \text{List}(\dots\{l_1, l_2, \dots, l_n\})$  and  $v' = \text{List}(\dots\{l'_1, l'_2, \dots, l'_n\})$ , then  $v'' = \text{List}(\dots\{l_1, l_2, \dots, l_n, l'_1, l'_2, \dots, l'_n\})$ .

**Definition:** `dealloc`  $\text{dealloc}(H, l) = H'$ , if  $l \notin \text{domain}(H)$ , then  $H' = H$ . If  $H'(l) = v$ ,  $H'$  depends on the value of  $v$ :

If  $v = \text{int}\{i\}$  or  $v = \text{bool}\{b\}$ , then  $H' = H/l$

If  $v = S_{id}(\dots\{l_1, l_2, \dots, l_n\})$ , then  $H'' = \text{dealloc}(H, l_1, l_2, \dots, l_n)$  and  $H' = H''/l$ .

If  $v = \text{List}(\dots\{l_1, l_2, \dots, l_n\})$ , then  $H'' = \text{dealloc}(H, l_1, l_2, \dots, l_n)$  and  $H' = H''/l$ .

Figure 34: Memory Evaluation Functions

## 4.8 Other

**Definition:**  $\text{set}(H_m, a, l) = H'_m$  ( $H'_m = H_m[l/a]$ )

**Definition:**  $\text{newAddr}(H_m, l) = H'_m, a$  ( $H'_m = H_m[l/a]$ ) and  $a \notin \text{domain}(H_m)$

Figure 35: Other Evaluation Functions

## 4.9 Abbreviations

$$\begin{aligned}
& \text{alloc}(H, v_1, v_2, \dots, v_n) = H', l_1, l_2, \dots, l_n, \text{ where:} \\
& \quad \text{alloc}(H, v_1) = H_1, l_1 \quad (H_1 = H[v_1/l_1]) \\
& \quad \text{alloc}(H_1, v_2) = H_2, l_2 \quad (H_2 = H_1[v_2/l_2]) \\
& \quad \vdots \\
& \quad \text{alloc}(H_{n-1}, v_n) = H', l_n \quad (H' = H_{n-1}[v_n/l_n]) \\
& \quad \text{dealloc}(H, l_1, l_2, \dots, l_n) = H', \text{ where:} \\
& \quad \text{dealloc}(H, l_1) = H_1 \\
& \quad \text{dealloc}(H_1, l_2) = H_2 \\
& \quad \vdots \\
& \quad \text{dealloc}(H_{n-1}, l_n) = H' \\
\\
& \text{newAddr}(H_m, l_1, l_2, \dots, l_n) = H'_m, a_1, a_2, \dots, a_n, \text{ where:} \\
& \quad \text{newAddr}(H_m, l_1) = H_{m1}, a_1 \\
& \quad \text{newAddr}(H_{m1}, l_2) = H_{m2}, a_2 \\
& \quad \vdots \\
& \quad \text{newAddr}(H_{mn-1}, l_n) = H'_m, a_n \\
\\
& \text{declare}(Rs, id_1, a_1, l_1, id_2, a_2, l_2, \dots, id_n, a_n, l_n) = Rs', \text{ where:} \\
& \quad \text{declare}(Rs, id_1, a_1, l_1) = R_{s1} \\
& \quad \text{declare}(R_{s1}, id_2, a_2, l_2) = R_{s2} \\
& \quad \vdots \\
& \quad \text{declare}(R_{sn-1}, id_n, a_n, l_n) = R'_s \\
\\
& \text{declare}(Es, id_1, a_1, id_2, a_2, \dots, id_n, a_n) = Es', \text{ where:} \\
& \quad \text{declare}(Es, id_1, a_1, l_1) = E_{s1} \\
& \quad \text{declare}(E_{s1}, id_2, a_2, l_2) = E_{s2} \\
& \quad \vdots \\
& \quad \text{declare}(E_{sn-1}, id_n, a_n, l_n) = E'_s
\end{aligned}$$

$H_m(R_s(id)) = H_m(a)$  where  $R_s(id) = (a, l)$

Figure 36: Abbreviations A

## 4.10 Semantic Rules

### Simple Expressions

$$(S-E-1) \frac{\text{type is } int}{H, H_m, R_s, E_s, F_d, S_d \vdash i \Downarrow H, H_m, R_s, E_s, \text{int}\{i\}, \_}$$

$$\frac{\text{type is } bool}{F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H, H_m, R_s, E_s, \text{bool}\{b\}, \_} (S-E-2)$$

$$\frac{\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b_1\}, \_ \\ b = \text{bool}\{\neg b_1\} \end{array}}{F_d, S_d, H, H_m, R_s, E_s \vdash !e \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_} (S-E-3)$$

$$(S-E-4) \frac{\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, \text{int}\{i_1\}, \_ \\ F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, \text{int}\{i_2\}, \_ \\ \text{op} \in \{+, -, *\} \\ i = i_1 \text{ op } i_2 \end{array}}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ op } e_2 \Downarrow H', H'_m, R_s, E_s, \text{int}\{i\}, \_}$$

$$(S-E-5) \frac{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{false\}, \_}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ and } e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{false\}, \_}$$

$$\frac{\begin{array}{c} F_d, S_d, H, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, \text{bool}\{true\}, \_ \\ F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_ \end{array}}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ and } e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_} (S-E-6)$$

$$(S-E-7) \frac{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{true\}, \_}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ or } e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{true\}, \_}$$

$$\frac{\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, \text{bool}\{false\}, \_ \\ F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_ \end{array}}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ or } e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_} (S-E-8)$$

Figure 37: Expression Evaluation Rules

### More Simple Expressions

$$\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, \text{int}\{i_1\}, \_ \\ F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, \text{int}\{i_2\}, \_ \\ \text{op} \in \{\langle, \rangle, ==\} \\ b = i_1 \text{ op } i_2 \\ \hline (\text{S-E-9}) \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \text{ op } e_2 \Downarrow H', H'_m, R_s, E_s, \text{bool}\{b\}, \_} \end{array}$$

Figure 38: More Expression Evaluation Rules

## Variable Declarations

$$\begin{array}{c}
 \text{type is } void \times void \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H'', H''_m, R_s, E_s, v, \_ \\
 \quad v \neq \text{ref}\{id', a'\} \\
 \quad \text{alloc}(H'', v) = H', l \\
 \quad \text{newAddr}(H''_m, l) = H'_m, a \\
 \quad \text{declare}(R_s, id, a, l) = R'_s \\
 \hline
 \text{(S-V-D-1)} \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id : t = e; \Downarrow H', H'_m, R'_s, E_s, \_, \_} \\
 \quad F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id = e; \Downarrow H', H'_m, R'_s, E_s, \_, \_
 \end{array}$$

$$\begin{array}{c}
 \text{type is } \alpha \times void \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H'', H''_m, R_s, E_s, v, \_ \\
 \quad v \neq \text{ref}\{id', a'\} \\
 \quad \text{alloc}(H'', v) = H', l \\
 \quad \text{newAddr}(H''_m, l) = H'_m, a \\
 \quad \text{declare}(E_s, id, a) = E'_s \\
 \hline
 \text{(S-V-D-1a)} \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id : t = e; \Downarrow H', H'_m, R_s, E'_s, \_, \_} \\
 \quad F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id = e; \Downarrow H', H'_m, R_s, E'_s, \_, \_
 \end{array}$$

$$\begin{array}{c}
 \text{type is } void \times void \\
 F_d, S_d, H, R_s, E_s \vdash e \Downarrow H', H''_m, R_s, E_s, v, \_ \\
 \quad v = \text{ref}\{id', a\} \\
 \quad \text{newAddr}(H''_m, H''_m(a)) = H'_m, a' \\
 \quad \text{declare}(R_s, id, a') = R'_s \\
 \hline
 \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id : t = e; \Downarrow H', H'_m, R'_s, E_s, \_, \_} \quad \text{(S-V-D-2)} \\
 \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id = e; \Downarrow H', H'_m, R'_s, E_s, \_, \_}
 \end{array}$$

$$\begin{array}{c}
 \text{type is } \alpha \times void \\
 F_d, S_d, H, R_s, E_s \vdash e \Downarrow H', R_s, E_s, v, \_ \\
 \quad v = \text{ref}\{id', a\} \\
 \quad \text{newAddr}(H''_m, H''_m(a)) = H'_m, a' \\
 \quad \text{declare}(E_s, id, a') = E'_s \\
 \hline
 \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id : t = e; \Downarrow H', H'_m, R_s, E'_s, \_, \_} \quad \text{(S-V-D-2a)} \\
 \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{let } id = e; \Downarrow H', H'_m, R_s, E'_s, \_, \_}
 \end{array}$$

Figure 39: Variable Declaration Evaluation Rules A

## Variable Assignment Evaluation

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H'', H'_m, R_s, E_s, v, \_ \\
 \quad v \neq \text{ref}\{id', a\} \\
 \quad \text{find}(R_s, id) = R \\
 \quad \text{realloc}(H'', H'_m(R(id)), v) = H' \\
 \hline
 \text{(S-V-A-1)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash id = e ; \Downarrow H', H'_m, R_s, E_s, \_, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H'', H'_m, R_s, E_s, v, \_ \\
 \quad v \neq \text{ref}\{id', a\} \\
 \quad \text{find}(E_s, id) = E \\
 \quad \text{realloc}(H'', H'_m(E(id)), v) = H' \\
 \hline
 \text{(S-V-A-1a)} \frac{}{F_d, S_d, H, H'_m R_s, E_s \vdash id = e ; \Downarrow H', H'_m, R_s, E_s, \_, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H', H''_m, R_s, E_s, v, \_ \\
 \quad v = \text{ref}\{id', a\} \\
 \quad \text{find}(R_s, id) = R \\
 \quad \text{set}(H''_m, R(id), H''_m(a)) = H'_m \\
 \hline
 \text{(T-V-A-2)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash id = e ; \Downarrow H, H'_m, R_s, E_s, \_, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, R_s, E_s \vdash e \Downarrow H', H''_m, R_s, E_s, v, \_ \\
 \quad v = \text{ref}\{id', a\} \\
 \quad \text{find}(E_s, id) = E \\
 \quad \text{set}(H''_m, E(id), H''_m(a)) = H'_m \\
 \hline
 \text{(T-V-A-2a)} \frac{}{F_d, S_d, H, R_s, E_s \vdash id = e ; \Downarrow H, H'_m R_s, E_s, \_, \_}
 \end{array}$$

Figure 40: Variable Assignment Evaluation Rules

## Variable Reference Evaluation

$$\begin{array}{c}
 \text{(S-V-R-1)} \frac{find(R_s, id) = R \quad v = H(H_m(R(id)))}{F_d, S_d, H, H_m, R_s, E_s \vdash id \Downarrow H, H_m, R_s, E_s, *ref\{id, v\}, \_} \\
 \\
 \text{(S-V-R-1a)} \frac{find(E_s, id) = E \quad v = H(H_m(E(id)))}{F_d, S_d, H, H_m, R_s, E_s \vdash id \Downarrow H, H_m, R_s, E_s, *ref\{id, v\}, \_} \\
 \\
 \text{(S-V-R-3)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash *ref\{id, v\} \Downarrow H, H_m, R_s, E_s, v, \_} \\
 \\
 \text{(S-V-R-4)} \frac{find(R_s, id) = R \quad R(id) = a}{F_d, S_d, H, H_m, R_s, E_s \vdash &id \Downarrow H, H_m, R_s, E_s, ref\{id, a\}, \_} \\
 \\
 \text{(S-V-R-4a)} \frac{find(E_s, id) = E \quad E(id) = a}{F_d, S_d, H, H_m, R_s, E_s \vdash &id \Downarrow H, H_m, R_s, E_s, ref\{id, a\}, \_}
 \end{array}$$

Figure 41: Variable Reference Evaluation Rules

## Sequential Statements

$$\begin{array}{c}
 \text{(S-S-1)} \frac{F_d, S_d, H, H_m, R_s, E_s \vdash S_1 \Downarrow H'', H''_m, R''_s, E''_s, \_, \_}{\begin{array}{c} F_d, S_d, H'', H''_m, R''_s, E''_s \vdash S_2 \Downarrow H', H'_m, R'_s, E'_s, \_, R_v \\ F_d, S_d, H, H_m, R_s, E_s \vdash S_1 S_2 \Downarrow H', H'_m, R'_s, E'_s, \_, R_v \end{array}} \\
 \\
 \text{(S-S-2)} \frac{F_d, S_d, H, H_m, R_s, E_s \vdash S_1 \Downarrow H', H'_m, R'_s, E'_s, \_, R_v}{\begin{array}{c} R_v \neq \_ \\ F_d, S_d, H, R_s, E_s \vdash S_1 S_2 \Downarrow H', H'_m, R'_s, E'_s, \_, R_v \end{array}}
 \end{array}$$

Figure 42: Sequential Statement Evaluation Rules

## Control Flow

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H''', H'''_m, R_s, E_s, \text{bool}\{\text{true}\}, \_ \\
 \quad \text{newScope}(R_s) = R''_s \quad \text{newScope}(E_s) = E''_s \\
 F_d, S_d, H''', H'''_m, R''_s, E''_s \vdash S_1 \Downarrow H'', H''_m, R'_s, E'_s, \text{bool}\{\text{true}\}, R_v \\
 \quad \text{closeScope}(R'_s, E'_s, H'', H'''_m) = H', H'_m, R_s, E_s \\
 \hline
 \text{(S-C-1a)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{if } b \{S_1\} \{S_2\} \Downarrow H', H'_m, R_s, E_s, \_, R_v} \\
 \\
 F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H''', H'''_m, R_s, E_s, \text{bool}\{\text{false}\}, \_ \\
 \quad \text{newScope}(R_s) = R''_s \quad \text{newScope}(E_s) = E''_s \\
 F_d, S_d, H''', H'''_m, R''_s, E''_s \vdash S_2 \Downarrow H'', H''_m, R'_s, E'_s, \text{bool}\{\text{true}\}, R_v \\
 \quad \text{closeScope}(R'_s, E'_s, H'', H'''_m) = H', H'_m, R_s, E_s \\
 \hline
 \text{(S-C-1b)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{if } b \{S_1\} \{S_2\} \Downarrow H', H'_m, R_s, E_s, \_, R_v} \\
 \\
 \text{(S-C-2a)} \frac{F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H', H'_m, R_s, E_s, \text{bool}\{\text{false}\}, \_}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{while } b \{S\} \Downarrow H', H'_m, R_s, E_s, \_, \_} \\
 \\
 F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H''''', H'''''_m, R_s, E_s, \text{bool}\{\text{true}\}, \_ \\
 \quad \text{newScope}(R_s) = R''_s \quad \text{newScope}(E_s) = E''_s \\
 F_d, S_d, H''''', H'''''_m, R''_s, E''_s \vdash S \Downarrow H''', H'''_m, R'_s, E'_s, \_, \_ \\
 \quad \text{closeScope}(R'_s, E'_s, H''', H'''_m) = H'', H''_m, R_s, E_s \\
 \hline
 \text{(S-C-2b)} \frac{F_d, S_d, H'', H''_m, R_s, E_s \vdash \text{while } b \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}{F_d, S_d, H, R_s, E_s \vdash \text{while } b \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v} \\
 \\
 F_d, S_d, H, H_m, R_s, E_s \vdash b \Downarrow H''', H'''_m, R_s, E_s, \text{bool}\{\text{true}\}, \_ \\
 \quad \text{newScope}(R_s) = R''_s \quad \text{newScope}(E_s) = E''_s \\
 F_d, S_d, H''', H'''_m, R''_s, E''_s \vdash S \Downarrow H'', H''_m, R'_s, E'_s, \_, R_v \\
 \quad \text{closeScope}(R'_s, E'_s, H'', H'''_m) = H', H'_m, R_s, E_s \\
 \hline
 \text{(S-C-2c)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{while } b \{S\} \Downarrow H', H'_m, R'_s, E'_s, \_, R_v}
 \end{array}$$

Figure 43: Control Flow Evaluation Rules

### Return

$$(S\text{-}R\text{-}1) \frac{F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow H', H'_m, R_s, E_s, v, \_}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{return } e; \Downarrow H', H'_m, R_s, E_s, \_, v}$$

Figure 44: Return Evaluation Rule

### Function Calls

$$\begin{aligned}
 & \text{type of : } e_{a1}, e_{a2}, \dots e_{ab} \text{ is not } \alpha \\
 & \text{type of : } e_{c1}, e_{c2}, \dots e_{cd} \text{ is } \alpha \\
 F_d(F_{id}) = & F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow R_t\{S_1 S_2 \dots S_j \text{ return } e; \} \\
 & F_d, S_d, H, H_m, R_s, E_s \vdash e_{a1} \Downarrow H_1, H_{m1}, R_s, E_s, v_1, \_ \\
 & F_d, S_d, H_1, H_{m1}, R_s, E_s \vdash e_{a2} \Downarrow H_2, H_{m2}, R_s, E_s, v_2, \_ \\
 & \quad \vdots \\
 & F_d, S_d, H_{b-1}, H_{mb-1}, R_s, E_s \vdash e_{ab} \Downarrow H_b, H_{mb}, R_s, E_s, v_b, \_ \\
 & F_d, S_d, H_b, H_{mb}, R_s, E_s \vdash e_{c1} \Downarrow H_{b+1}, H_{mb+1}, R_s, E_s, v_{b+1}, \_ \\
 F_d, S_d, H_{b+1}, H_{mb+1}, R_s, E_s \vdash & e_{c2} \Downarrow H_{b+2}, H_{mb+2}, R_s, E_s, v_{b+2}, \_ \\
 & \quad \vdots \\
 & F_d, S_d, H_{n-1}, H_{mn-1}, R_s, E_s \vdash e_{cd} \Downarrow H_n, H_{mn}, R_s, E_s, v_n, \_ \\
 & \text{alloc}(H_n, v_1, v_2, \dots v_b, v_{b+1}, v_{b+2}, \dots v_n) = H''_n, l_1, l_2, \dots l_b, l_{b+1}, l_{b+2}, \dots l_n \\
 & \text{newAddr}(H_{mn}, l_1, l_2, \dots l_b, l_{b+1}, l_{b+2}, \dots l_n) = H''_m, a_1, a_2, \dots a_b, a_{b+1}, a_{b+2}, \dots a_n \\
 & R'_s = \emptyset \quad E'_s = \emptyset \\
 & \text{declare}(R'_s, P_1, a_1, l_1, P_2, a_2, l_2, \dots P_b, a_b, l_b) = R''_s \\
 & \text{declare}(E'_s, P_{b+1}, a_{b+1}, P_{b+2}, a_{b+2}, \dots P_n, a_n, l_n) = E''_s \\
 F_d, S_d, H_n, H_{mn}, R''_s, E''_s \vdash & S_1 S_2 \dots S_j \text{ return } e; \Downarrow H'', H''_m, R''_s, E''_s, \_, R_v \\
 & \text{closeScope}(R''_s, E''_s, H'', H''_m) = R'_s, E'_s, H', H'_m \\
 (S\text{-}F\text{-}1) \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash F_{id}(e_{a1}, e_{a2}, \dots e_{ab}, e_{c1}, e_{c2}, \dots e_{cd}) \Downarrow H', H'_m, R_s, E_s, R_v, \_}
 \end{aligned}$$

Figure 45: Function Call Evaluation Rules

### Structure Literal

$$\begin{array}{c}
 S_d(S_{id}) = S_{id}(S_{id}) = S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n) \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H_1, H_{m1}, R_s, E_s, v_1, \_ \\
 F_d, S_d, H_1, H_{m1}, R_s, E_s \vdash e_2 \Downarrow H_2, H_{m2}, R_s, E_s, v_2, \_ \\
 \vdots \\
 F_d, S_d, H_{n-1}, H_{mn-1}, R_s, E_s \vdash e_n \Downarrow H_n, H_{mn}, R_s, E_s, v_n, \_ \\
 \text{alloc}(H_n, v_1, v_2, \dots, v_n) = H'_m, l_1, l_2, \dots, l_n \\
 \text{newAddr}(H_{mn}, l_1, l_2, \dots, l_n) = H'_m, a_1, a_2, \dots, a_n \\
 \hline
 (\text{TS-S-L-1}) \frac{F_d, S_d, H, H_m, R_s, E_s \vdash S_{id}\{A_1 = e_1, A_2 = e_2, \dots, A_n : e_n\} \Downarrow H'_m, H'_m, R_s, E_s, S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_n\}), \_}{F_d, S_d, H, H_m, R_s, E_s \vdash S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_n\}), \_}
 \end{array}$$

Figure 46: Structure Literal Evaluation Rule

### Structure Assignment

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow \\
 H''', H''_m, R_s, E_s, S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_n\}), \_ \\
 id = A_i \in A_1, A_2, \dots, A_n \\
 F_d, S_d, H''', H''_m, R_s, E_s \vdash e_2 \Downarrow H'', H'_m, R_s, E_s, v, \_ \\
 \text{realloc}(H'', H'_m(a_i), v) = H' \\
 \hline
 (\text{T-S-A-1}) \frac{F_d, S_d, H, H_m, R_s, E_s \vdash e_1.id = e_2 \Downarrow H', H'_m, R_s, E_s, \_, \_}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1.id = e_2 \Downarrow H', H'_m, R_s, E_s, \_, \_} \quad (\text{T-S-A-2})
 \end{array}$$

Figure 47: Structure Assignment Evaluation Rules A

## Structure References

$$\begin{array}{c}
 \frac{\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow \\ H', H'_m, R_s, E_s, *ref\{id', S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_n\})\}, \_ \\ id = A_i \in A_1, A_2, \dots, A_n \quad v = *ref\{id', H(H_m(a_i))\} \end{array}}{(T-S-R-1)} \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash e.id \Downarrow H', H'_m, R_s, E_s, v, \_} \\
 \\ 
 \frac{\begin{array}{c} F_d, S_d, H, H_m, R_s, E_s \vdash e \Downarrow \\ H', H'_m, R_s, E_s, *ref\{id', S_{id}(A_1 : a_1, A_2 : a_2, \dots, A_n : a_n, \{l_1, l_2, \dots, l_n\})\}, \_ \\ id = A_i \in A_1, A_2, \dots, A_n \end{array}}{(T-S-R-2)} \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash & e.id \Downarrow H', H'_m, R_s, E_s, ref\{id', a_i\}, \_} \end{array}$$

Figure 48: Structure Reference Evaluation Rules A

## Global Definitions

$$\begin{array}{c}
 V'_1 = F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1}\{S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1;\} \\
 V'_2 = F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2}\{S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2;\} \\
 \vdots \\
 V'_j = F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj}\{S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j;\} \\
 V'_{j+1} = S_{id1}(A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}) \\
 V'_{j+2} = S_{id2}(A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}) \\
 \vdots \\
 V'_{j+k} = S_{idk}(A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}) \\
 F_{d1} = F_d[V'_1 / F_{id1}][V'_2 / F_{id2}] \dots, [V'_j / F_{idj}] \\
 S_{d1} = S_d[V'_{j+1} / S_{id1}][V'_{j+2} / S_{id2}] \dots, [V'_{j+k} / S_{idk}] \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash def F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1}\{S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1;\} : \\
 \quad H', H'_m, R_s, E_s, V'_1, \_ \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash def F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2}\{S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2;\} : \\
 \quad H', H'_m, R_s, E_s, V'_2, \_ \\
 \vdots \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash def F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj}\{S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j;\} : \\
 \quad H', H'_m, R_s, E_s, V'_j, \_ \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash struct S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} : \\
 \quad H', H'_m, R_s, E_s, V'_{j+1}, \_ \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash struct S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} : \\
 \quad H', H'_m, R_s, E_s, V'_{j+2}, \_ \\
 \vdots \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash struct S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S : \\
 \quad H', H'_m, R_s, E_s, V'_{j+k}, \_ \\
 F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash S \Downarrow H', H'_m, R'_s, E'_s, \_, R_v \\
 \quad 0 \leq j, k \\
 \quad 0 \leq n_1, n_2, \dots, n_j \\
 \quad 0 \leq x_1, x_2, x_3, \dots, x_j \\
 \quad 0 \leq m_1, m_2, \dots, m_k \\
 \quad S_{id} \text{ are unique} \quad F_{id} \text{ are unique} \\
 \quad A_i, P_i \text{ are unique in the context of their definitions} \\
 (TS-G-1) \quad \frac{}{F_{d1}, S_{d1}, H, H_m, R_s, E_s \vdash def F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1}\{S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1;\} \\
 \quad def F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2}\{S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2;\}} \\
 \vdots \\
 \def F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj}\{S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j;\} \\
 \quad struct S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} \\
 \quad struct S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} \\
 \vdots \\
 \quad struct S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S \Downarrow H', H'_m, R'_s, E'_s, \_, R_v$$

Figure 49: Global Definitions Evaluation Rules A

### List Literals

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H_1, H_{m1}, R_s, E_s, v_1, \_ \\
 F_d, S_d, H_1, H_{m1}, R_s, E_s \vdash e_2 \Downarrow H_2, H_{m2}, R_s, E_s, v_2, \_ \\
 \vdots \\
 F_d, S_d, H_{n-1}, H_{mn-1}, R_s, E_s \vdash e_n \Downarrow H_n, H_{mn}, R_s, E_s, v_n, \_ \\
 \forall v \in v_1, v_2, \dots v_n : v \neq ref(id, a) \\
 alloc(H_n, v_1, v_2, \dots v_n) = H', l_1, l_2, \dots l_n \\
 newAddr(H_{mn}, l_1, l_2, \dots l_n) = H'_m, a_1, a_2, \dots a_n \\
 \hline
 (\text{TS-L-1}) \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash [e_1, e_2, \dots e_n] \Downarrow \\
 H', H'_m, R_s, E_s, List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}), \_}
 \end{array}$$

Figure 50: List Literal Evaluation Rule

## List Operations

$$\begin{array}{c}
F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 0 \\
F_d, S_d, H''', H'''_m, R_s, E_s \vdash e_2 \Downarrow H''', H''_m, R_s, E_s, v_2, \_ \quad v_2 \neq ref\{id', a'\} \\
H'', l = alloc(H''', v_2) \quad H'_m, a_{n+1} = newAddr(H''_m, l) \\
\hline
(\text{TS-L-O-1}) \frac{H' = realloc(H'', H'_m(E(id)), List(a_1, a_2, \dots a_n, a_{n+1}, \{l_1, l_2, \dots l_n, l\}))}{F_d, S_d, H, H_m, R_s, E_s \vdash e_1 << e_2; \Downarrow H', H'_m, R_s, E_s, \_, \_}
\end{array}$$
  

$$\begin{array}{c}
H, H_m, R_s, E_s, F_d, S_d \vdash e \Downarrow H'', H'_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \quad v = H''(H'_m(a_n)) \\
v_r = List(a_1, a_2, \dots a_{n-1}, \{l_1, l_2, \dots l_{n-1}\}) \quad H' = realloc(H'', H'_m(a), v_r) \\
\hline
(\text{TS-L-O-2}) \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash e! \Downarrow H', H'_m, R_s, E_s, v, \_}
\end{array}$$
  

$$\begin{array}{c}
F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \\
F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, int\{i\}, \_ \\
v = H'(H'_m(a_i)) \quad n \geq i \\
\hline
(\text{TS-L-3}) \frac{F_d, S_d, R_s, E_s \vdash e_1[e_2] \Downarrow H', H'_m, R_s, E_s, *ref\{id, v\}, \_}{F_d, S_d, R_s, E_s \vdash \&e_1[e_2] \Downarrow H', H'_m, R_s, E_s, ref\{id, a\}, \_}
\end{array}$$
  

$$\begin{array}{c}
F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \\
F_d, S_d, H''', H'''_m, R_s, E_s \vdash e_2 \Downarrow H''', H''_m, R_s, E_s, int\{i\}, \_ \\
F_d, S_d, H''', H''_m, R_s, E_s \vdash e_3 \Downarrow H'', H'_m, R_s, E_s, v, \_ \\
v \neq ref\{id', a'\} \quad n \geq i \quad H' = realloc(H'', H'_m(a_i), v) \\
\hline
(\text{TS-L-4}) \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3; \Downarrow H', H'_m, R_s, E_s, \_, \_}
\end{array}$$
  

$$\begin{array}{c}
F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \\
F_d, S_d, H''', H'''_m, R_s, E_s \vdash e_2 \Downarrow H'', H''_m, R_s, E_s, int\{i\}, \_ \\
F_d, S_d, H'', H''_m, R_s, E_s \vdash e_3 \Downarrow H', H''_m, R_s, E_s, *ref\{id', a'\}, \_ \\
n \geq i \quad H'_m = set(H''_m, a, H''_m(a')) \\
\hline
(\text{TS-L-4a}) \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2] = e_3; \Downarrow H', H'_m, R_s, E_s, \_, \_}
\end{array}$$
  

$$\begin{array}{c}
F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 0 \\
F_d, S_d, H'', H''_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, *ref\{id', lst'\}, \_ \\
lst' = List(a'_1, a'_2, \dots a'_m, \{l'_1, l'_2, \dots l'_m\}) \quad m \geq 0 \\
v = List(a_1, a_2, \dots a_n, a'_1, d'_2, \dots a'_m, \{l_1, l_2, \dots l_n, l'_1, l'_2, \dots l'_m\}) \\
\hline
(\text{TS-L-5}) \frac{}{F_d, S_d, R_s, E_s \vdash e_1 + e_2 \Downarrow H', H'_m, R_s, E_s, v, \_}
\end{array}$$

Figure 51: List Operation Evaluation Rules

## List Operations

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, *ref\{id, lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \\
 F_d, S_d, H''', H'''_m, R_s, E_s \vdash e_2 \Downarrow H'', H''_m, R_s, E_s, int\{i_1\}, \_ \\
 F_d, S_d, H'', H''_m, R_s, E_s \vdash e_3 \Downarrow H', H'_m, R_s, E_s, int\{i_2\}, \_ \\
 \quad i_1 \leq i_2 \leq n \\
 v = List(a_{i1}, a_{i1+2}, \dots a_{i2}, \{l_{i1}, l_{i1+2}, \dots l_{i2}\}) \\
 \hline
 (\text{TS-L-6}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1[e_2 : e_3] \Downarrow H', H'_m, R_s, E_s, v, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, v, \_ \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, ref\{id, lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 1 \\
 \exists a_i \in a_1, a_2, \dots a_n : H'(H'_m(a_i)) = v \\
 \hline
 (\text{TS-L-7a}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1 \text{ in } e_2 \Downarrow H', H'_m, R_s, E_s, bool\{true\}, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H'', H''_m, R_s, E_s, v, \_ \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e_2 \Downarrow H', H'_m, R_s, E_s, ref\{id, lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n \geq 0 \\
 \nexists a_i \in a_1, a_2, \dots a_n : H'(H'_m(a_i)) = v \\
 \hline
 (\text{TS-L-7b}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash e_1 \text{ in } e_2 \Downarrow H', H'_m, R_s, E_s, bool\{false\}, \_}
 \end{array}$$

$$\begin{array}{c}
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H', H'_m, R_s, E_s, ref\{id, lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n = 0 \\
 \hline
 (\text{TS-L-8}) \quad \frac{}{F_d, S_d, R_s, E_s \vdash \text{for } id \text{ in } e \{S\} \Downarrow H', H'_m, R_s, E_s, \_, \_}
 \end{array}$$

$$\begin{array}{c}
 \text{type is } \alpha \times t \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, ref\{id', lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n > 0 \\
 R'_s = newScope(R_s) \quad E'_s = newScope(E_s) \quad E''_s = declare(id, a_1) \\
 \quad H''', H'''_m, R'_s, E''_s, F_d, S_d \vdash S \Downarrow H'', H''_m, R'_s, E''_s, \_, \_, R_v \\
 \quad closeScope(R'_s, E''_s, H'', H''_m) = R_s, E_s, H', H'_m \\
 \hline
 (\text{TS-L-9a}) \quad \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{for } id \text{ in } e \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}
 \end{array}$$

$$\begin{array}{c}
 \text{type is } \alpha \times t \\
 F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''''', H'''''_m, R_s, E_s, ref\{id', lst\}, \_ \\
 \quad lst = List(a_1, a_2, \dots a_n, \{l_1, l_2, \dots l_n\}) \quad n > 0 \\
 R'_s = newScope(R_s) \quad E'_s = newScope(E_s) \quad E''_s = declare(id, a_1) \\
 \quad H''''', H'''''_m, R'_s, E''_s, F_d, S_d \vdash S \Downarrow H''', H'''_m, R'_s, E''_s, \_, \_, R_v \\
 \quad closeScope(R'_s, E''_s, H''', H'''_m) = R_s, E_s, H'', H''_m \\
 \hline
 (\text{TS-L-9b}) \quad \frac{H'', H''_m, R_s, E_s, F_d, S_d \vdash \text{for } id \text{ in } e[1 : n] \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}{H, H_m, R_s, E_s, F_d, S_d \vdash \text{for } id \text{ in } e \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}
 \end{array}$$

Figure 52: More List Operation Evaluation Rules

## List Operations

$$\frac{\begin{array}{c} \text{type is } void \times t \\ F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''', H'''_m, R_s, E_s, \text{ref}\{id', lst\}, \_ \\ lst = List(a_1, a_2, \dots, a_n, \{l_1, l_2, \dots, l_n\}) \quad n > 0 \\ R'_s = newScope(R_s) \quad E'_s = newScope(E_s) \quad R''_s = declare(id, a_1, l_1) \\ F_d, S_d, H''', H'''_m, R''_s, E'_s \vdash S \Downarrow H'', H''_m, R''_s, E'_s, \_, R_v \\ closeScope(R''_s, E'_s, H'', H''_m) = R_s, E_s, H', H'_m \end{array}}{(TS-L-9c) \quad H, H_m, R_s, E_s, F_d, S_d \vdash \text{for } id \text{ in } e \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}$$

$$\frac{\begin{array}{c} \text{type is } \alpha \times t \\ F_d, S_d, H, H_m, R_s, E_s \vdash e_1 \Downarrow H''''', H'''''_m, R_s, E_s, \text{ref}\{id', lst\}, \_ \\ lst = List(a_1, a_2, \dots, a_n, \{l_1, l_2, \dots, l_n\}) \quad n > 0 \\ R'_s = newScope(R_s) \quad E'_s = newScope(E_s) \quad R''_s = declare(id, a_1, l_1) \\ H''''', H'''''_m, R''_s, E'_s, F_d, S_d \vdash S \Downarrow H''', H'''_m, R''_s, E'_s, \_, \_ \\ closeScope(R''_s, E'_s, H''', H'''_m) = R_s, E_s, H'', H''_m \end{array}}{(TS-L-6a) \quad \frac{F_d, S_d, H'', H''_m, R_s, E_s \vdash \text{for } id \text{ in } e[1 : n] \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}{F_d, S_d, H, H_m, R_s, E_s \vdash \text{for } id \text{ in } e \{S\} \Downarrow H', H'_m, R_s, E_s, \_, R_v}}$$

Figure 53: Even More List Operation Evaluation Rules

## 5 Reference System

I provide an overview of lifetimes and regions through the reference system. I also show the reference system because, while it is simple, it voids restrictions imposed by traditional Region-Based Memory Systems.

```
1 struct someStruct {someAtt:int}
2
3 let someVariable = 10;
4 let str = someStruct{someAtt=someVariable};
5 let lst = [1, 2, 3, 4, 5, 6, 7, 8, 9, someVariable];
6
7 # someVariable == str.someAtt == lst[10] == 10
8
9 someVariable = 11;
10
11 # str.someAtt == lst[10] == 10
```

Figure 54: Reference Example 1

In Figure 54, value references work like in most other languages. When someVariable was referenced, the Heap made a copy independent from the instance stored for someVariable. This isn't out of the ordinary. These types of references, while useful are limited. So, there is another type of reference, address references.

```

1 struct someStruct {someAtt:int}
2
3 let someVariable = 10;
4 let str = someStruct{someAtt=someVariable};
5 str.someAtt = &someVariable;
6 let lst = [1, 2, 3, 4, 5, 6, 7, 8, 9, someVariable];
7 lst[10] = &someVariable;
8
9 # someVariable == str.someAtt == lst[10] == 10
10
11 someVariable = 11;
12
13 # someVariable == str.someAtt == lst[10] == 11

```

Figure 55: Reference Example 2

Figure 55 uses address references. Since str.someAtt, lst[10] addresses both map to the same location on the heap as someVariable, when someVariable changes, str.someAtt and lst[10] also change. Address references are simple but robust. Memory is allocated to the heap automatically; manual allocation isn't necessary. Also, there isn't a special type annotation or variable to hold addresses like in C. Since there is no special syntax for storing addresses, there is no need for a special syntax for dereferencing. However, there is a special syntax for passing an address reference to distinguish them from value-style references.

Despite the simplicity, this reference system isn't lacking in ability. You can create and assign references to variables, list indices, function parameters, and structure attributes. See Figure 56. The language emulates pointer arithmetic using lists. Addresses are added and removed from lists using primitive operators, and list indices are mutable, referencable, and accessible. Lastly, lists are nestable and can hold references.

```

1 def someFunction(i:int) -> int {return 1 + i;}
2 struct someStruct {someAtt:int}
3
4 let someVariable = 10;
5 let str = someStruct{someAtt=someVariable};
6 let lst = [1, 2, 3, 4, 5, 6, 7, 8, 9, someVariable];
7
8 str.someAtt = &lst[10];
9 someVariable = &str.someAtt;
10
11 lst[10] = someFunction(&lst[10]);
# lst[10] == 11
12
13
14 let unsafeVariable: alpha = [1, true, 2, false];
15
16 unsafeVariable!;
# unsafeVariable == [1, true, 2]
17 unsafeVariable!;
# unsafeVariable == [1, true]
18
19 unsafeVariable << someStruct{someAtt = 6};
# unsafeVariable == [1, true, someStruct{someAtt=6}]
20
21
22

```

Figure 56: Reference Example 3

The type system guarantees that the safe side of the language cannot have memory errors. Thus, the type system ensures that only safe reference assignments are valid. It does this through lifetimes. For the safe side of the language, lifetimes depend mostly on variables. The lifetime of a variable spans from the time it's created to when it's destroyed. Regions track the lifetimes of variables in the safe side of the language and govern their use. Each program has a set of Regions. You can add new mappings to Regions, but when a Region is closed, it deallocates every mapping. Regions are closed automatically and only when it's safe, mainly by using lifetimes. Generally speaking, if something exists in some region R, it can only access and be bound to things of the same type that outlive it. The type rules ensure that programs that satisfy this type check.

$$\begin{array}{c}
 \frac{\text{find}(R_s, id) = R \wedge R(id) = t \vee \\
 \text{find}(E_s, id) = E \wedge E(id) = t}{F_d, S_d, R_s, E_s \vdash id : *ref(id, t)} \quad (\text{TS-R-1}) \\
 F_d, S_d, R_s, E_s \vdash & \frac{F_d, S_d, R_s, E_s \vdash & \frac{F_d, S_d, R_s, E_s \vdash ref\{id, a\} : ref(id, t) \quad F_d, S_d, R_s, E_s \vdash id : *ref(id, t) : t}{F_d, S_d, R_s, E_s \vdash id : *ref(id, t) : t}}{ref(id, t)} \quad (\text{TS-R-2})
 \end{array}$$

Figure 57: Simple Variable Typing Rules With Scope

Figure 57 gives the typing judgements for both kinds of references. The first type of reference is for value style references. If id is valid, it will first evaluated to  $*ref(id, t)$ , by (TS-R-2) it can be reduced to t using the subtyping rules. I use an intermediate form because it is helpful to know the variable being referenced and not just the type, especially when accessing lists and structures. Recall, Regions manage lifetimes of variables, so it's important to know which variable is being referenced and not just its type.

The other type of references are address style references. In Figure 5,  $\&id$  evaluates to  $ref\{id, a\}$ , an intermediate form in the semantics, for the same purpose as the other style of reference in the type system. The type of  $\&id$  and  $ref\{id, a\}$  is  $ref(id, t)$ . Both types of reference encode the type of the variable and the lifetime by including id. When and where these are allowed to be assigned depends on the assigner, not the

assignee; to see the criteria, see the rules for assignment.

The only safety check reference rules perform is to ensure that the id is in scope. The reference typing rules ensure that the variable exists in a valid Region or an Environment. I only list the typing rules for references to safe variables, but I list all the rules in the type system.

$$\begin{array}{c}
 \text{(S-V-R-1)} \frac{\text{find}(R_s, id) = R \quad v = H(H_m(R(id)))}{F_d, S_d, H, H_m, R_s, E_s \vdash id \Downarrow H, H_m, R_s, E_s, *ref\{id, v\}, \_} \\
 \\ 
 \text{(S-V-R-3)} \frac{}{F_d, S_d, H, H_m, R_s, E_s \vdash *ref\{id, v\} \Downarrow H, H_m, R_s, E_s, v, \_} \\
 \\ 
 \text{(S-V-R-4)} \frac{\text{find}(R_s, id) = R \quad R(id) = a}{F_d, S_d, H, H_m, R_s, E_s \vdash &id \Downarrow H, H_m, R_s, E_s, ref\{id, a\}, \_}
 \end{array}$$

Figure 58: Reference Typing Rules

Figure 58 presents the semantic rules for both kinds of safe references. Since the type system ensures that variables will exist in a Region and on the Heap, the premise of the first rule is just to *find* the value; it isn't a check, whereas, for the unsafe side of the semantics, the value or address might not exist, thus, it also serves as a check.

Lastly, the purpose of the Heap Map is to provide a layer of indirection between the Heap, Regions, and Environments. Recall Regions and Environments map identifiers to addresses, and Heap Maps map addresses to locations. Thus, you can change what an identifiers map to on the heap without changing Regions or Environments. This is useful for references of both kinds.

## 6 Soundness

### 6.1 Foreword

Soundness is arguably the most important proof for type systems. In essence, soundness proves a type system works. Soundness states that if a type system yields type  $T$ , for some program  $P$ ,  $P$  is either a primitive value, or  $P$  evaluates to  $P'$ , and the type system yields type  $T$  for  $P'$ . In short, it shows showing the type is static throughout the execution of a program, thus ensuring that the type system works and the guarantees hold.

Traditionally, a soundness argument has two parts: progress and preservation. Progress states that in the empty typing context, every statement is primitive or reduces to something. Preservation states that if, in some typing context, a statement is a certain type and it reduces, the reduced version will be the same type. I prove progress and preservation for the safe side and the unsafe side. Furthermore, I split the inductive assumption into two parts: the assumption for expressions typed  $t$  and statements typed  $T_1 \times T_2$ . Technically, it is one inductive assumption, but splitting it into two parts highlights what I'm trying to prove.

I also present a reduced, more complete system so that soundness is easier to prove. Most papers do this. It follows the same core idea of extending gradual types to regions, so I conjecture the first system gives the same guarantee of memory safety. Also, in a very hand-wavey way, I've spent a lot of time working with the former system, and I'm confident in its capabilities. Moreover, if you incorporate the type system in a compiler, the first type system presents the idea in a much more useful way; this system makes rigorous proofs easier. The semantics are also different. Before, I used intuitive and readable "Big-Step Semantics" to rigorously describe the language. However, for certain induction proofs, Big-Step semantics don't work well. So, I use what is called "Small-Step Semantics". In Small-Step Semantics, you have to specify rules as simple reductions. A Small Step Semantics system reduces statements one step at a time, making them harder

to write and read. However, certain induction proofs are now easier.

Lastly, this is far and away the most popular approach to proving soundness. The proof is my own, but broadly speaking, the structure and approach to semantics are not. These references influenced these proofs: 3, 4, 5, 6, 7, 8 and 9.

### Typing Context

$R : id \mapsto t$  (id is an identifier and t is a type)

$E : id \mapsto t$  (id is an identifier and t is a type)

### Evaluation Context

$V : id \mapsto l$  (id is an identifier and l is a location)

$H : l \mapsto v$  (l is a location and v is a value)

Figure 59: Evaluation and Typing Contexts

### Types

Integers: int

Boolean: bool

Unsafe, Dynamic Type:  $\alpha$

Value Style References: \*ref(id, t)

Address Style References: ref(id, t)

Structure Types:  $S_{id}(A_1 : T_1, A_2 : T_2, \dots, A_n : T_n)$  ( $S_{id}$  is the structure name,  $A_1, A_2, \dots, A_n$  are attribute names,  $T_1, T_2, \dots, T_n$  are attribute types).

Function Type:  $F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T$  ( $F_{id}$  is a function name,  $P_1, P_2, \dots, P_n$  are parameters,  $T_1, T_2, \dots, T_n$  are parameter types  $T$  is the return type).

Safe Statement: void  $\times$  t (t is a valid type capturing the return)

Unsafe Statement:  $\alpha \times t$  (t is a valid type capturing the return)

Figure 60: Types of Types

**Definition** A type t is safe if:

$t = \text{int}$  or  $\text{bool}$

$t = *ref(id, t')$  or  $t = ref(id, t')$  and  $t'$  is safe

$S_d(t) = S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$  and  $t_i$  is safe  $\forall t \in t_1, t_2, \dots, t_n$

$t = void \times T$  and  $T$  is safe

**Definition** A type t is unsafe if:

$t = \alpha$

$t = *ref(id, t')$  or  $t = ref(id, t')$  and  $t'$  is unsafe

$S_d(t) = S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$  and  $\exists t_i \in t_1, t_2, \dots, t_n$  such that  $t_i$  is unsafe

$t = \alpha \times T$

**Definition:** Subtyping:  $t_1 <: t_2$ ,  $t_1$  is a subtype of  $t_2$

**Definition:** **alloc**  $alloc(H, V, id, v) = H', V'$ , where  $H'(V'(id)) = v$   
 $alloc(H, v) = H', l$ , where  $H' = H[v/l]$  and  $l \notin domain(H)$

**Definition:** **realloc**  $realloc(H, v) = H', l$ , where  $H(l) = v'$  and  $H' = H[v''/l]$ . The value of  $v''$  depends on  $v$  and  $v'$ :

If  $v = \text{int}\{i\}$  or  $v = \text{bool}\{b\}$ , then  $v'' = v$ .

If  $v = S_{id}(\dots\{l_1, l_2, \dots, l_n\})$  and  $v' = S_{id}(\dots\{l'_1, l'_2, \dots, l'_n\})$ , then  $v'' = S_{id}(\dots\{l_1, l_2, \dots, l_n, l'_1, l'_2, \dots, l'_n\})$ .

**Definition:** **clear**(H, V, id, l)  $clear(H, V, id, l) = H', V'$   $V' = V/\text{id}$ , if  $l \notin domain(H)$ , then  $H' = H$ . If  $H'(l) = v$ ,  $H'$  depends on the value of  $v$  :

If  $v = \text{int}\{i\}$  or  $v = \text{bool}\{b\}$ , then  $H' = H/l$

If  $v = S_{id}(\dots\{l_1, l_2, \dots, l_n\})$ , then  $H'' = dealloc(H, l_1, l_2, \dots, l_n)$  and  $H' = H''/l$ .

**Definition:** **dealloc**  $dealloc(H, l) = H'$ , if  $l \notin domain(H)$ , then  $H' = H$ . If  $H'(l) = v$ ,  $H'$  depends on the value of  $v$  :

If  $v = \text{int}\{i\}$  or  $v = \text{bool}\{b\}$ , then  $H' = H/l$

If  $v = S_{id}(\dots\{l_1, l_2, \dots, l_n\})$ , then  $H'' = dealloc(H, l_1, l_2, \dots, l_n)$  and  $H' = H''/l$ .

Figure 61: Definitions

### Other Definitions

**Define Substitution:**  $S[A \mapsto B] = S'$  where every instance of A is replaced with B in statement S.

Variables:  $S[id \mapsto v] = S'$

Structures:  $S[S_{id}\{A_1 = E_1, A_2 = E_2, \dots, A_n = E_n\}] \mapsto StructLiteral\{S_{id}\{A_1 = E_1, A_2 = E_2, \dots, A_n = E_n\}\}$

Functions:  $S[F_{id1}(a_1, a_2, \dots, a_n)] \mapsto FunctionLiteral\{(let P_1 : T_1 = a_1; let P_2 : T_2 = a_2 \dots let P_n : T_n = a_n; S_1 S_2 \dots S_m \text{ return } e;\})\}$

**Definition Well-Foundedness:**  $R, E \vdash H, V$  if  $\forall id \in domain(R)$  if:

$R(id) = t$  and  $t \in \{int, bool\}$ , and  $H(V(id)) = v$  and  $R, E \vdash v : t$

$R(id) = t = S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$ , and  $H(V(id)) = v$ ,  $R, E \vdash v : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$ ,  $H(V(id)) = v = S_{id}(a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n, \dots)$ ,  $R, E \vdash H(l_i) : t_i$ ,  $\forall l_i \in \{l_1, l_2, \dots, l_n\}$  and  $t_i \in \{t_1, t_2, \dots, t_n\}$

**Definition Empty-Context:** If  $R, E \vdash e : t$ , and R and E =  $\emptyset$ , then  $\vdash e : t$

Figure 62: More Definitions

### Abbreviations

$alloc(H, v_1, v_2, \dots, v_n) = H', l_1, l_2, \dots, l_n$ , where:

$alloc(H, v_1) = H_1, l_1 \quad (H_1 = H[v_1/l_1])$

$alloc(H_1, v_2) = H_2, l_2 \quad (H_2 = H[v_2/l_2])$

$\vdots$

$alloc(H_{n-1}, v_n) = H', l_n \quad (H' = H[v_n/l_n])$

$dealloc(H, l_1, l_2, \dots, l_n) = H'$ , where:

$dealloc(H, l_1) = H_1$

$dealloc(H_1, l_2) = H_2$

$\vdots$

$dealloc(H_{n-1}, l_n) = H'$

$clear(H, V, id_1, l_1, id_2, l_2, \dots, id_n, l_n) = H', V'$ , where:

$clear(H, V, id_1, l_1) = H_1, V_1$

$clear(H_1, V_1, id_2, l_2) = H_2, V_2$

$\vdots$

$clear(H_{n-1}, V_{n-1}, id_n, l_n) = H', V'$

Figure 63: Abbreviations B

## 6.2 Type Rules

### Simple Expressions

$$(TS\text{-}E\text{-}1) \frac{i \text{ is a valid integer}}{R, E \vdash i : int} \quad (TS\text{-}E\text{-}2) \frac{b \text{ is a valid boolean}}{R, E \vdash b : bool}$$

$$(TS\text{-}E\text{-}3) \frac{\begin{array}{c} R, E \vdash i_1 : int \\ R, E \vdash i_2 : int \\ op \in \{+, -, *\} \end{array}}{R, E \vdash i_1 \ op \ i_2 : int} \quad (TS\text{-}E\text{-}4) \frac{}{t <: \alpha}$$

$$(TS\text{-}E\text{-}5) \frac{t \text{ is unsafe}}{R, E \vdash Error : ErrorType}$$

Figure 64: Simple Expression Typing Rules B

## Variable Declarations

$$\begin{array}{c}
 \begin{array}{cc}
 \begin{array}{c} id \text{ is a valid identifier} \\ id \notin \text{domain}(R) \cup \text{domain}(E) \end{array} & \begin{array}{c} id \text{ is a valid identifier} \\ id \notin \text{domain}(R) \cup \text{domain}(E) \end{array} \\
 \begin{array}{c} R, E \vdash e : t \\ t \text{ is safe} \end{array} & \begin{array}{c} R, E \vdash e : t \\ t \text{ is unsafe} \end{array} \\
 \begin{array}{c} t \neq \text{ref}(id', t') \\ R' = R[t/id] \end{array} & \begin{array}{c} t \neq \text{ref}(id', t') \\ E'_s = E[t/id] \end{array} \\
 \begin{array}{c} R', E \vdash S : t'' \times t''' \\ R', E \vdash S : t'' \times t''' \end{array} & \begin{array}{c} R, E' \vdash S : t'' \times t''' \\ R, E' \vdash S : t'' \times t''' \end{array}
 \end{array} \\
 (\text{TS-V-1}) \frac{}{R, E \vdash \text{let } id : t = e; S : t'' \times t'''} \quad (\text{TS-V-2}) \frac{}{R, E \vdash \text{let } id : t = e; S : \alpha \times t'''}
 \end{array}$$
  

$$\begin{array}{c}
 \begin{array}{c} id \text{ is a valid identifier} \\ id \notin \text{domain}(R) \cup \text{domain}(E) \end{array} \\
 \begin{array}{c} R, E \vdash e : t \\ t \neq \text{ref}(id', t') \\ E' = E[\alpha/id] \end{array} \\
 \begin{array}{c} R, E \vdash S : t'' \times t''' \\ R, E \vdash S : t'' \times t''' \end{array}
 \end{array} \\
 (\text{TS-V-3}) \frac{}{R, E \vdash \text{let } id : \alpha = e; S : \alpha \times t'''}$$

Figure 65: Variable Typing Rules

## Assignment

$$\begin{array}{c}
 \begin{array}{c} R(id) = t \quad R, E \vdash e : t \quad R, E \vdash e : \text{ref}(id', t) \quad id \neq id' \quad R(id) = t \\ t \text{ is safe} \end{array} \\
 (\text{TS-A-1}) \frac{}{R, E \vdash id = e ; : void \times void} \quad (\text{TS-A-2}) \frac{}{R, E \vdash id = e ; : void \times void}
 \end{array}$$
  

$$(\text{TS-A-3}) \frac{E(id) = t' \quad R, E \vdash e : t}{R, E \vdash id = e ; : \alpha \times void} \quad (\text{TS-V-A-4}) \frac{\begin{array}{c} R, E \vdash \text{ref}(id', t) \quad id \neq id' \quad E(id) = t' \\ t \text{ is unsafe} \end{array}}{F_d, S_d, R_s, E_s \vdash id = e ; : \alpha \times void}$$

## Reference

$$\begin{array}{c}
 \begin{array}{c} R(id) = t \vee E(id) = t \\ (\text{For the last case}) \quad H(l) = v \wedge R, E \vdash v : t \end{array} \\
 (\text{TS-R-1}) \frac{}{R, E \vdash id : * \text{ref}(id, t)} \\
 \begin{array}{c} R, E \vdash & \& id : \text{ref}(id, t) \\ R, E \vdash \text{ref}\{id, l\} : \text{ref}(id, t) \end{array}
 \end{array}$$
  

$$\frac{R(id) = t \vee E(id) = t \quad R, E \vdash v : t}{R, E \vdash * \text{ref}(id, v) : * \text{ref}(id, t)} \quad (\text{TS-R-2}) \frac{}{t <: * \text{ref}(id, t)}$$
  

$$(\text{TS-R-3}) \frac{R(id) = t \vee E(id) = t \quad R, E \vdash v : t}{R, E \vdash * \text{ref}(id, v) : * \text{ref}(id, t)}$$

Figure 66: Other Variable Typing Rules B

## Control Flow

$$\begin{array}{c}
 \frac{\begin{array}{c} R, E \vdash b : \text{bool} \\ R, E \vdash S_1 : \text{void} \times t \\ R, E \vdash S_2 : \text{void} \times t \end{array}}{(TS\text{-C-1}) \quad R, E \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \text{void} \times t} \quad \frac{\begin{array}{c} R, E \vdash b : t_1 \\ R, E \vdash S_1 : t_2 \times t' \\ R, E \vdash S_2 : t_3 \times t'' \\ (t_1 = \text{bool} \vee t_1 = \alpha) \wedge t' \neq t'' \end{array}}{(TS\text{-C-1a}) \quad R, E \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \alpha \times \alpha} \\
 \\
 (TS\text{-C-2}) \quad \frac{\begin{array}{c} R, E \vdash b : t_1 \\ R, E \vdash S_1 : t_2 \times t' \\ R, E \vdash S_2 : t_2 \times t' \\ t_1 = \alpha \vee (t_1 = \text{bool} \wedge t_2 = \alpha) \end{array}}{R, E \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : \alpha \times t'} \quad (TS\text{-C-3}) \quad \frac{R, E \vdash b : \text{bool} \quad R, E \vdash S : \text{void} \times t}{R, E \vdash \text{while } b \{S\} : \text{void} \times t} \\
 \\
 (TS\text{-C-4}) \quad \frac{R, E \vdash b : t \quad R, E \vdash S : t' \times t'' \quad t = \alpha \vee (t = \text{bool} \wedge t' = \alpha)}{R, E \vdash \text{while } b \{S\} : \alpha \times t''} \quad (TS\text{-C-5}) \quad \frac{R, E \vdash S_1 : t \times t' \quad R, E \vdash S_2 : t \times t' \quad S_1 \text{ isn't a let statement}}{R, E \vdash S_1 \ S_2 : t \times t'} \\
 \\
 (TS\text{-C-6}) \quad \frac{\begin{array}{c} R, E \vdash S_1 : t_1 \times t' \\ R, E \vdash S_2 : t_2 \times t'' \\ t_1 = \alpha \vee t_2 = \alpha \vee t' \neq t'' \\ S_1 \text{ isn't a let statement} \end{array}}{R, E \vdash S_1 \ S_2 : \alpha \times \alpha} \\
 \\
 (TS\text{-C-7}) \quad \frac{\text{void} \times \text{void} <: T_1 \times T_2}{ErrorType <: \alpha \times T} \quad (TS\text{-C-8}) \quad \frac{\alpha \times \text{void} <: \alpha \times T}{ErrorType <: \alpha \times T} \quad (TS\text{-C-8})
 \end{array}$$

Figure 67: Control Flow Typing Rules

### Return

$$\begin{array}{c}
 R, E \vdash e : t \\
 t \text{ is safe} \\
 \hline
 \text{(TS-R-1)} \frac{}{R, E \vdash \text{return } e; : \text{void} \times t} \quad R, E \vdash e : t \\
 t \text{ is unsafe} \\
 \hline
 \text{(TS-R-2)} \frac{}{R, E \vdash \text{return } e; : \alpha \times t}
 \end{array}$$

### Other

$$\text{(TS-O-1)} \frac{R, E \vdash e : t \quad t \text{ is safe}}{R, E \vdash e; : \text{void} \times \text{void}} \quad \text{(TS-O-1a)} \frac{R, E \vdash e : t \quad t \text{ is unsafe}}{R, E \vdash e; : \alpha \times \text{void}}$$

$$\text{(TS-O-2)} \frac{E(id) = t \quad V(id) = l' \wedge H(l) = v}{R, E \vdash \text{free}(id); : \alpha \times \text{void}} \quad \frac{R, E \vdash v : t \quad t \text{ is safe}}{R, E \vdash \text{clear}(id, l); : \text{void} \times \text{void}} \quad \text{(TS-O-3)}$$

$$\frac{R, E \vdash St[id \mapsto H(V(id))] : T_1 \times T_2}{R, E \vdash St : T_1 \times T_2} \quad \text{(TS-O-4)}$$

Figure 68: Other Statements

## Functions

$$\begin{array}{c}
 F_d(F_{id}) = F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r \\
 \quad P_1, P_2, \dots, P_n \text{ are unique} \\
 \quad \forall t \in T_1, T_2, \dots, T_n, T_r : t \text{ is safe} \\
 \quad R' = \{P_1 : T_1, P_2 : T_2, \dots, P_n : T_n\} \\
 \quad R', E \vdash S_1 S_2 \dots S_j \text{ return } e; \\
 \quad clear(id_1, l_1); clear(id_2, l_2); \dots clear(id_n, l_n); : void \times T' \\
 \quad T' = T_r \wedge T' \neq ref(id, T'') \\
 \quad j, n \geq 0
 \\ \hline
 (\text{TS-F-1}) \quad \frac{}{R, E \vdash def F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow \\
 T_r \{S_1 S_2 \dots S_j \text{ return } e; clear(id_1, l_1); clear(id_2, l_2); \dots clear(id_n, l_n); \} \\
 : F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r}
 \\ \hline
 F_d(F_{id}) = F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r \\
 \quad P_1, P_2, \dots, P_n \text{ are unique} \\
 \quad \forall t \in T_{a1}, T_{a2}, \dots, T_{ab} : t \text{ is safe} \\
 \quad \forall t \in T_{c1}, T_{c2}, \dots, T_{cd}, T_r : t \text{ is unsafe} \\
 \quad b, d, n \geq 0 \quad b + d = n \\
 \quad T_{a1}, T_{a2}, \dots, T_{ab} \cup T_{c1}, T_{c2}, \dots, T_{cd} = T_1, T_2, \dots, T_n \\
 \quad R' = \{P_{a1} : T_{a1}, P_{a2} : T_{a2}, \dots, P_{ab} : T_{ab}\} \\
 \quad E' = \{P_{c1} : T_{c1}, P_{c2} : T_{c2}, \dots, P_{cd} : T_{cd}\} \\
 \quad R', E' \vdash S_1 S_2 \dots S_j \text{ return } e; clear(id_1, l_1); clear(id_2, l_2); \dots clear(id_n, l_n); : \\
 \quad \alpha \times T'_r T_r = \alpha \vee T'_r = T_r
 \\ \hline
 (\text{TS-F-1a}) \quad \frac{}{R, E \vdash def F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow \\
 T_r \{S_1 S_2 \dots S_j \text{ return } e; clear(id_1, l_1); clear(id_2, l_2); \dots \\
 clear(id_n, l_n); \} : F_{id}(P_1 : T_1, P_2 : T_2, \dots, P_n : T_n) \rightarrow T_r}
 \\ \hline
 (\text{TS-F-2}) \quad \frac{R, E \vdash St : T_1 \times T_2 \wedge T_1 \text{ isn't } \alpha}{R, E \vdash FunctionLiteral\{St\} : T_2}
 \\ \hline
 (\text{TS-F-3}) \quad \frac{R, E \vdash St : T_1 \times T_2 \wedge T_1 \text{ isn't } void}{R, E \vdash FunctionLiteral\{St\} : \alpha}
 \end{array}$$

Figure 69: Function Typing Rules

## Structures

$$(TS\text{-}S\text{-}1) \frac{R, E \vdash St : e_1 : t_1, \quad R, E \vdash St : e_2 : t_2, \dots, \quad R, E \vdash St : e_n : t_n}{R, E \vdash StructureLiteral\{S_{id}\{a_1 = e_1, a_2 = e_2, \dots, a_n = e_n\}\} : S_{id}\{a_1 : t_1, a_2 : t_2, \dots, a_n : t_n\}}$$

$$(TS\text{-}S\text{-}2) \frac{R, E \vdash St : e_1 : t_1, \quad R, E \vdash St : e_2 : t_2, \dots, \quad R, E \vdash St : e_n : t_n}{R, E \vdash S_{id}\{a_1 = e_1, a_2 = e_2, \dots, a_n = e_n\} : S_{id}\{a_1 : t_1, a_2 : t_2, \dots, a_n : t_n\}}$$

$$(TS\text{-}S\text{-}3) \frac{l_1, l_2, \dots, l_n \in domain(H)}{R, E \vdash S_{id}\{a_1 : l_1, a_2 : l_2, \dots, a_n : l_n\} : S_{id}\{a_1 : t_1, a_2 : t_2, \dots, a_n : t_n\}}$$

## Structure Assignment

$$(TS\text{-}S\text{-}A\text{-}1) \frac{\begin{array}{c} R, E \vdash e_1 : *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}) \\ \forall t \in t_1, t_2, \dots, t_n : t \text{ is safe} \quad id = A_i \in A_1, A_2, \dots, A_n \\ R, E \vdash e_2 : T_i \quad t_i \neq ref(id'', t) \end{array}}{R, E \vdash e_1.id = e_2 : void \times void}$$

$$(TS\text{-}S\text{-}A\text{-}2) \frac{\begin{array}{c} R, E \vdash e_1 : *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}) \\ \forall t \in t_1, t_2, \dots, t_n : t \text{ is safe} \quad id = a_i \in a_1, a_2, \dots, a_n \\ R, E \vdash e_2 : ref(id'', t_i) \\ R(id') = S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\} \quad R(id'') = t_i \end{array}}{F_d, S_d, R_s, E_s \vdash e_1.id = e_2 : void \times void}$$

$$(TS\text{-}S\text{-}A\text{-}3) \frac{\begin{array}{c} R, E \vdash e_1 : *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}) \\ id = a_i \in a_1, a_2, \dots, a_n \quad t_i \text{ is unsafe} \\ R, E \vdash e_2 : t'_i \quad t'_i = t_i \vee t_i = \alpha \quad t'_i \neq ref(id'', t) \end{array}}{R, E \vdash e_1.id = e_2 : \alpha \times void}$$

$$(TS\text{-}S\text{-}A\text{-}4) \frac{\begin{array}{c} R, E \vdash e_1 : *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}) \\ id = a_i \in a_1, a_2, \dots, a_n \quad t_i \text{ is unsafe} \\ R, E \vdash e_2 : ref(id', t'_i) \quad t_i = \alpha \vee t'_i = t_i \end{array}}{R, E \vdash e_1.id = e_2 : \alpha \times void}$$

$$(TS\text{-}S\text{-}A\text{-}5) \frac{\begin{array}{c} R, E \vdash e_1 : *ref(id', \alpha) \quad R, E \vdash e_1 : *ref(id', \alpha) \\ R, E \vdash e_2 : t \quad R, E \vdash e_2 : ref(id'', t) \\ t \neq ref(id'', t') \quad t \text{ is unsafe} \end{array}}{R, E \vdash e_1.id = e_2 : \alpha \times void} \quad (TS\text{-}S\text{-}A\text{-}6) \frac{}{R, E \vdash e_1.id = e_2 : \alpha \times void}$$

Figure 70: Structure Typing Rules

## More Structures

$$\begin{array}{c}
 R, E \vdash e_1 : *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}) \\
 \quad id = a_i \in a_1, a_2, \dots a_n \\
 \hline
 (\textbf{TS-S-R-1}) \quad \frac{}{R, E \vdash e_1.id : *ref(id', t_i)} \\
 \qquad \qquad \qquad R, E \vdash \&e_1.id : ref(id', t_i) \\
 \\ 
 (\textbf{TS-S-R-1}) \quad \frac{R, E \vdash e_1 : *ref(id', \alpha)}{R, E \vdash e_1.id : \alpha}
 \end{array}$$

Figure 71: More Structure Typing Rules

## Global Definitions

$$\begin{array}{c}
 R, E \vdash \text{def } F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1} \{S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1; \} : T'_1 \\
 R, E \vdash \text{def } F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2} \{S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2; \} : T'_2 \\
 \vdots \\
 R, E \vdash \text{def } F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj} \{S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j; \} : T'_j \\
 R, E \vdash \text{struct } S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} : T'_{j+1} \\
 R, E \vdash \text{struct } S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} : T'_{j+2} \\
 \vdots \\
 R, E \vdash \text{struct } S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S : T'_{j+k} \\
 R, E \vdash S[F_{id1}(a_{11}, a_{12}, \dots, a_{1n_1}) \mapsto \text{FunctionLiteral}] \\
 \{(let P_{11} : T_{11} = a_{11}; let P_{12} : T_{12} = a_{12} \dots let P_{1n} : T_{1n} = a_{1n}; S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1; )\} \\
 [F_{id2}(a_{21}, a_{22}, \dots, a_{2n_2}) \mapsto \text{FunctionLiteral}] \\
 \{(let P_{21} : T_{21} = a_{21}; let P_{22} : T_{22} = a_{22} \dots let P_{2n} : T_{2n} = a_{2n}; S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2; )\} \dots \\
 [F_{idn}(a_{j1}, a_{j2}, \dots, a_{jn_j}) \mapsto \text{FunctionLiteral}] \\
 \{(let P_{j1} : T_{j1} = a_{j1}; let P_{j2} : T_{j2} = a_{j2} \dots let P_{jn_j} : T_{jn_j} = a_{nj}; S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j; )\} \\
 [S_{id1}\{A_{11} = E_{11}, A_{12} = E_{12}, \dots, A_{1m_1} = E_{1m_1}\} \mapsto \\
 \text{StructLiteral}\{S_{id1}\{A_{11} = E_{11}, A_{12} = E_{12}, \dots, A_{1m_1} = E_{1m_1}\}\}] \\
 [S_{id2}\{A_{21} = E_{21}, A_{22} = E_{22}, \dots, A_{2m_2} = E_{2m_2}\} \mapsto \\
 \text{StructLiteral}\{S_{id2}\{A_{21} = E_{21}, A_{22} = E_{22}, \dots, A_{2m_2} = E_{2m_2}\}\}] \dots \\
 [S_{idk}\{A_{k1} = E_{k1}, A_{k2} = E_{k2}, \dots, A_{km_k} = E_{km_k}\} \mapsto \\
 \text{StructLiteral}\{S_{idk}\{A_{k1} = E_{k1}, A_{k2} = E_{k2}, \dots, A_{km_k} = E_{km_k}\}\}] : t \\
 0 \leq j, k \\
 0 \leq n_1, n_2, \dots, n_j \\
 0 \leq x_1, x_2, x_3, \dots, x_j \\
 0 \leq m_1, m_2, \dots, m_k \\
 S_{id} \text{ are unique} \quad F_{id} \text{ are unique} \\
 A_i, P_i \text{ are unique in the context of their definitions}
 \end{array}$$

**(TS-G-1)** —————

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$$\begin{array}{c}
 R, E \vdash \text{def } F_{id1}(P_{11} : T_{11}, P_{12} : T_{12}, \dots, P_{1n_1} : T_{1n_1}) \rightarrow T_{r1} \{S_{11}S_{12}\dots S_{1x_1} \text{ return } e_1; \} \\
 \text{def } F_{id2}(P_{21} : T_{21}, P_{22} : T_{22}, \dots, P_{2n_2} : T_{2n_2}) \rightarrow T_{r2} \{S_{21}S_{22}\dots S_{2x_2} \text{ return } e_2; \} \\
 \vdots \\
 \text{def } F_{idj}(P_{j1} : T_{j1}, P_{j2} : T_{j2}, \dots, P_{jn_j} : T_{jn_j}) \rightarrow T_{rj} \{S_{j1}S_{j2}\dots S_{jx_j} \text{ return } e_j; \} \\
 \text{struct } S_{id1}\{A_{11} : T''_{11}, A_{12} : T''_{12}, \dots, A_{1m_1} : T''_{1m_1}\} \\
 \text{struct } S_{id2}\{A_{21} : T''_{21}, A_{22} : T''_{22}, \dots, A_{2m_2} : T''_{2m_2}\} \\
 \vdots \\
 \text{struct } S_{idk}\{A_{k1} : T''_{k1}, A_{k2} : T''_{k2}, \dots, A_{km_k} : T''_{km_k}\} S : t
 \end{array}$$

Figure 72: Global Definitions Typing Rules B

### 6.3 Small-step Operational Semantics

#### Simple Expressions

$$\begin{array}{c}
 \text{(S-E-1)} \frac{e_1, H \longrightarrow e', H', V' \quad \text{op} \in \{+, -, *\}}{e_1 \text{ op } e_2, H, V \longrightarrow e' \text{ op } e_2, H', V'} \\
 \text{(S-E-1b)} \frac{e, H \longrightarrow e', H', V' \quad \text{op} \in \{+, -, *\} \quad n \in \text{integers}}{n \text{ op } e, H, V \longrightarrow n \text{ op } e', H', V'} \\
 \text{(S-E-1c)} \frac{\text{op} \in \{+, -, *\} \quad n_3 = n_1 \text{ op } n_2 \quad n_1, n_2, n_3 \in \text{integers}}{n_1 \text{ op } n_2, H, V \longrightarrow n_3, H, V} \\
 \text{(S-E-1d)} \frac{\text{op} \in \{+, -, *\}}{\text{Error op } e, H, V \longrightarrow \text{Error}, H, V} \quad \text{(S-E-1e)} \frac{\text{op} \in \{+, -, *\}}{n \text{ op Error}, H, V \longrightarrow \text{Error}, H, V} \\
 \text{(S-E-1f)} \frac{\text{op} \in \{+, -, *\} \quad n \in \text{literals} \wedge n \notin \text{integers}}{n \text{ op } e, H, V \longrightarrow \text{Error}, H, V} \\
 \text{(S-E-1g)} \frac{\text{op} \in \{+, -, *\} \quad m \in \text{literals} \wedge m \notin \text{integers}}{n \text{ op } m, H, V \longrightarrow \text{Error}, H, V}
 \end{array}$$

Figure 73: Simple Expression Evaluation Rules

### Variable Declarations

$$(\mathbf{S\text{-}V\text{-}D\text{-}1}) \frac{e, H, V \longrightarrow e', H', V'}{\text{let } id : t = e; St, H, V \longrightarrow \text{let } id : t = e'; St, H', V'}$$

$$(\mathbf{S\text{-}V\text{-}D\text{-}2}) \frac{\begin{array}{c} t \text{ is safe} \\ H', V', l = \text{alloc}(H, V, id, v) \end{array}}{\text{let } id : t = v; St, H, V \longrightarrow St \text{ clear}(id, l);, H', V'}$$

$$(\mathbf{S\text{-}V\text{-}D\text{-}3}) \frac{}{\text{let } id : t = \text{Error}; St, H, V \longrightarrow \text{Error}, H, V}$$

$$\frac{\begin{array}{c} t \text{ is unsafe} \\ H', V' = \text{alloc}(H, V, id, v) \end{array}}{\text{let } id : t = v; St, H, V \longrightarrow St, H', V'} \quad (\mathbf{S\text{-}V\text{-}D\text{-}4})$$

Figure 74: Variable Declaration Evaluation Rules B

### Assignments

$$(\mathbf{S\text{-}V\text{-}A\text{-}1}) \frac{e, H', V' \longrightarrow e', H', V'}{id = e ;, H, V \longrightarrow id = e' ;, H', V'} \quad (\mathbf{S\text{-}V\text{-}A\text{-}2}) \frac{H' = \text{realloc}(H, V(id))]}{id = v ;, H \longrightarrow \_, H', V'}$$

$$(\mathbf{S\text{-}V\text{-}A\text{-}3}) \frac{V' = V[id/l]}{id = \text{ref}\{id', l\} ;, H, V' \longrightarrow \_, H, V'} \quad (\mathbf{S\text{-}V\text{-}A\text{-}3}) \frac{}{id = \text{Error}, H, V \longrightarrow \text{Error}, H, V}$$

### References

$$(\mathbf{S\text{-}V\text{-}R\text{-}1}) \frac{V(id) = l \quad l \in \text{domain}(H) \quad H(l) = v}{id, H, V \longrightarrow *ref(id, v) H, V} \quad (\mathbf{S\text{-}V\text{-}R\text{-}2}) \frac{}{*ref(id, v), H, V \longrightarrow v, H, V}$$

$$(\mathbf{S\text{-}V\text{-}R\text{-}3}) \frac{V(id) = l \quad l \notin \text{domain}(H) \quad H(l) = v}{id, H, V \longrightarrow \text{Error}, H, V} \quad (\mathbf{S\text{-}V\text{-}R\text{-}4}) \frac{V(id) = l \quad l \in \text{domain}(H)}{\&id, H, V \longrightarrow \text{ref}\{id, l\}, H, V}$$

$$\frac{V(id) = l \quad l \notin \text{domain}(H)}{\&id, H, V \longrightarrow \text{Error}, H, V} \quad (\mathbf{S\text{-}V\text{-}R\text{-}5})$$

Figure 75: Other Variable Evaluation Rules

### Statements

$$(S\text{-}S\text{-}1) \frac{S_1, H, V \longrightarrow S', H', V' \quad (S_1 \neq \text{let } id : t = v; S_1 \neq \text{return } e;)}{S_1 S_2 \longrightarrow S' S_2, H', V'}$$

$$(S\text{-}S\text{-}2) \frac{}{S_2, H, V \longrightarrow S_2, H, V} \quad \frac{}{\text{return } v; S, H, V \longrightarrow \text{return } v; , H, V} (S\text{-}S\text{-}3)$$

$$\frac{}{Error; S, H, V \longrightarrow Error; , H, V} (S\text{-}S\text{-}4)$$

### Control Flow

$$(S\text{-}C\text{-}1) \frac{b, H, V \longrightarrow b', H', V'}{if \ b \ \{S_1\} \ \{S_2\}, H, V \longrightarrow if \ b' \ \{S_1\} \ \{S_2\}, H', V'}$$

$$(S\text{-}C\text{-}2) \frac{}{if \ true \ \{S_1\} \ \{S_2\}, H, V \longrightarrow S_1, H, V}$$

$$\frac{}{if \ false \ \{S_1\} \ \{S_2\}, H, V \longrightarrow S_2, H, V} (S\text{-}C\text{-}3)$$

$$\frac{}{if \ Error \ \{S_1\} \ \{S_2\}, H, V \longrightarrow Error, H, V} (S\text{-}C\text{-}4)$$

$$(S\text{-}C\text{-}5) \frac{}{while \ b \ \{St\}, H, V \longrightarrow if \ b \ \{St \ while \ b \ \{St\}\} \ else \{St\}, H, V}$$

### Return

$$(S\text{-}R\text{-}1) \frac{e, H, V \longrightarrow e', H', V'}{return \ e; , H, V \longrightarrow return \ e'; , H', V'}$$

$$\frac{}{return \ Error; , H, V \longrightarrow Error, H, V} (S\text{-}R\text{-}2)$$

Figure 76: Statement Evaluation Rules

### Other Statements

$$\begin{array}{c}
 (\mathbf{S-O-1}) \frac{e, H, V \longrightarrow e', H', V'}{e;, H, V \longrightarrow e';, H', V'} \quad (\mathbf{S-O-2}) \frac{}{v;, H, V \longrightarrow \_, H, V} \\
 (\mathbf{S-O-3}) \frac{}{Error;, H, V \longrightarrow Error, H, V} \quad (\mathbf{S-O-4}) \frac{H' = H/V(id) \quad V' = V/id}{free(id), H, V \longrightarrow \_, H', V'} \\
 (\mathbf{S-O-5}) \frac{id \notin domain(V) \vee V(id) \notin domain(H)}{free(id), H, V \longrightarrow Error, H, V} \quad (\mathbf{S-O-6}) \frac{H', V' = clear(H, V, id_1, l_2)}{clear(id_1, l_1);, H, V \longrightarrow \_, H', V'}
 \end{array}$$

Figure 77: Other Statement Evaluation Rules

### Functions

$$\begin{array}{c}
 (\mathbf{S-F-1}) \frac{St, H, V \longrightarrow St', H', V'}{FunctionLiteral\{St\}, H, V \longrightarrow FunctionLiteral\{St'\}, H', V'} \\
 (\mathbf{S-F-2}) \frac{H', V' = clear(H, V, id_1, l_1, id_2, l_2, \dots, id_n, l_n);}{FunctionLiteral\{return v; clear(id_1, l_1); \\ clear(id_2, l_2); \dots clear(id_n, l_n); \}, H, V \longrightarrow v, H', V'} \\
 (\mathbf{S-F-3}) \frac{n \geq 0}{FunctionLiteral\{Error clear(id_1, l_1); \\ clear(id_2, l_2); \dots clear(id_n, l_n); \}, H, V \longrightarrow Error, H, V}
 \end{array}$$

Figure 78: Function Evaluation Rules

## Structures

$$\begin{array}{l}
 \text{(S-S-L-1)} \frac{}{StructLiteral\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}\}, H, V \longrightarrow} \\
 \qquad S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}, H, V \\
 \\ 
 \text{(S-S-L-2)} \frac{e_{i+1}, H, V \longrightarrow e'_{i+1}, H', V'}{S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots \\
 \qquad a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V} \\
 \qquad \longrightarrow \\
 \qquad S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = \\
 \qquad v_i \dots a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H', V' \\
 \\ 
 \text{(S-S-L-3)} \frac{alloc(H, v_1, v_2, \dots v_n) = H', l_1, l_2, \dots l_n}{S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_n : t_n = v_n\}, H, V \longrightarrow} \\
 \qquad S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}, H', V \\
 \\ 
 \text{(S-S-L-4)} \frac{}{S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots \\
 \qquad a_{i+1} : t_{i+1} = Error, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, \\
 \qquad H, V \longrightarrow Error, H, V}
 \end{array}$$

Figure 79: Structure Evaluation Rules

### Structure Assignments

$$(S\text{-}S\text{-}A\text{-}1) \frac{e_1, H, V \longrightarrow e'_1, H', V'}{e_1.id = e_2, H, V \longrightarrow e'_1.id = e_2, H', V'}$$

$$\frac{\begin{array}{c} e, H, V \longrightarrow e', H', V' \\ *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e, H, V \longrightarrow \\ *ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e', H', V' \end{array}}{(S\text{-}S\text{-}A\text{-}2)}$$

$$(S\text{-}S\text{-}A\text{-}3) \frac{id' = a_i \quad H' = realloc(H, v)}{*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = v, H, V \longrightarrow \_, H', V'}$$

$$(S\text{-}S\text{-}A\text{-}4) \frac{\begin{array}{c} id' = a_i \\ V' = V[S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}/id] \\ id \in domain(V) \end{array}}{\begin{array}{c} *ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}).id' \\ = ref\{id'', l\}, H, V \longrightarrow \_, H, V' \end{array}}$$

$$(S\text{-}S\text{-}A\text{-}5) \frac{\begin{array}{c} (v_1 = *ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}) \wedge \\ id \notin domain(V)) \vee \\ (v_1 \neq *ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\})) \vee \\ (v_2 = ref\{id, l\} \wedge l \notin domain(H)) \vee (v_2 = Error) \end{array}}{v_1.id' = v_2, H, V \longrightarrow Error, H, V}$$

Figure 80: Structure Assignment Evaluation Rules B

### Structure References

$$(S-S-R-1) \frac{e, H, V \longrightarrow e', H', V'}{e.id, H, V \longrightarrow e'.id, H', V'}$$

$$\frac{id' = a_i \quad l_i \in domain(H) \quad H(l_i) = v}{*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}).id', H, V \longrightarrow v, H, V} (S-S-R-2)$$

$$\frac{id' = a_i \quad H(l) = S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\} \quad l_i \in domain(H)}{ref\{id, l\}.id', H, V \longrightarrow ref\{id, l_i\}, H, V} (S-S-R-4)$$

$$(S-S-R-5) \frac{(v = *ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}) \wedge id' = a_i, l_i \notin domain(H)) \vee (v \neq *ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\}) \wedge (v \neq ref\{id, l\})) \vee (v = ref\{id, l\} \wedge (H(l) \neq S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i \dots a_n : t_n = l_n\} \wedge id = a_i, l_i \notin domain(H)))}{v.id', H, V \longrightarrow Error, H, V}$$

Figure 81: Structure Reference Evaluation Rules B

## Global Definitions

**(S-G-1)**

---

```

def F_id1(P11 : T11, P12 : T12, ..., P1n1 : T1n1) → Tr1 {S11S12...S1x1 return e1;}
def F_id2(P21 : T21, P22 : T22, ..., P2n2 : T2n2) → Tr2 {S21S22...S2x1 return e2;}

⋮

def F_idj(Pj1 : Tj1, Pj2 : Tj2, ..., Pjnj : Tjnj) → Trj {Sj1Sj2...Sjxj return ej;}
struct Sid1{A11 : T11'', A12 : T12'', ..., A1m1 : T1m1''}
struct Sid2{A21 : T21'', A22 : T22'', ..., A2m2 : T2m2''}

⋮

struct Sidk{Ak1 : Tk1'', Ak2 : Tk2'', ..., Akmk : Tkmk''}, St →
St[Fid1(a11, a12, ..., a1n1) ↦ FunctionLiteral
{(let P11 : T11 = a11; let P12 : T12 = a12 ... let P1n1 : T1n1 = a1n1; S11S12...S1x1 return e1;)]}
[Fid2(a21, a22, ..., a2n2) ↦ FunctionLiteral
{(let P21 : T21 = a21; let P22 : T22 = a22 ... let P2n2 : T2n2 = a2n2; S21S22...S2x2 return e2;)}]...
[Fidn(aj1, aj2, ..., ajnj) ↦ FunctionLiteral
{(let Pj1 : Tj1 = aj1; let Pj2 : Tj2 = aj2 ... let Pjnj : Tjnj = ajnj; Sj1Sj2...Sxj return ej;)}]
[Sid1{A11 = E11, A12 = E12, ..., A1m1 = E1m1} ↦ StructLiteral{Sid1{A11 = E11, A12 = E12, ..., A1m1 = E1m1}]}
[Sid2{A21 = E21, A22 = E22, ..., A2m2 = E2m2} ↦ StructLiteral{Sid2{A21 = E21, A22 = E22, ..., A2m2 = E2m2}]}...
[Sidk{Ak1 = Ek1, Ak2 = Ek2, ..., Akmk = Ekmk} ↦ StructLiteral{Sidk{Ak1 = Ek1, Ak2 = Ek2, ..., Akmk = Ekmk}]}]
```

---

Figure 82: Global Definitions Evaluation Rules B

## 6.4 Clear Assumption

Clear is a special statement. If this language had a compiler, it would insert clear statements in an intermediate stage. Clear isn't meant to be a part of the surface language, so I assume some special rules for its use. Firstly, I assume that all clear statements are valid only if they result from the evaluation rule **(S-O-6)**. From this, I assume that clears only exist at the natural end of a variable lifetime, meaning for all instances of  $clear(id, l);$ ,  $V(id) = l'$  and  $H(l) = v$ . Lastly, I also assume that clears only appear in expressions where  $id \notin domain(R)$ . These are simple and fair assumptions based on the semantic and typing rules, but annoying to incorporate because clear is an intermediate form.

## 7 Soundness and Safety

### 7.1 Progress

#### Theorem 7.1 Progress 1

**Progress 1 Part 1:** If  $\vdash E : T$  and  $T$  is safe, then  $E$  is an integer, a boolean, a safe reference literal, a safe structure literal, or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ .

*Proof by strong induction on the depth of the derivation of  $\vdash E : T$ , (and  $\vdash E : void \times T_2$ , see Progress Part 2). Consider the last step of the derivation:*

**Case 1:**  $\vdash i$ :  $i$  is an integer.

**Case 2:**  $\vdash b$ :  $b$  is a boolean.

**Case 3:**  $\vdash e_1 \ op \ e_2 : int$  and  $op \in \{+, -, *\}$

1.  $e_1 \ op \ e_2$ : By inductive assumption,  $e_1, H, V \rightarrow e'_1, H', V'$ . So,  $e_1 \ op \ e_2, H, V \rightarrow e'_1, H', V' \ op \ e_2$  (**S-E-1**)
2.  $n \ op \ e$ : By inductive assumption,  $e, H, V \rightarrow e', H', V'$ . So,  $n \ op \ e, H, V \rightarrow n \ op \ e', H', V'$  (**S-E-2**)
3.  $n \ op \ m, H, V \rightarrow v, H, V$  (**S-E-1c**)

**Case 4:**  $\vdash id : t_1, \vdash *ref(id, v) : t_2, \vdash &id : t_3$  and  $\vdash ref\{id, l\} : t_4$

1.  $id$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
2.  $*ref(id, v)$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
3.  $\&id$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
4.  $ref\{id, l\}$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

Figure 83: Progress 1 Part 1 A

## Continuation 1 Progress 1 Continued

**Case 5:**  $\vdash FunctionLiteral\{St\} : T$

1.  $FunctionLiteral\{St\}, H, V \longrightarrow FunctionLiteral\{St'\}, H', V'$ : By inductive assumption,  $St, H, V \longrightarrow St', H', V'$ . So,  $FunctionLiteral\{St\}, H, V \longrightarrow FunctionLiteral\{St'\}, H', V'$ . (**S-F-1**)
2.  $FunctionLiteral\{return v; clear(id_1, l_1); clear(id_2, l_2); \dots clear(id_n, l_n); \}, H, V \longrightarrow v, H, V'$  (**S-F-2**)

**Case 6:**  $\vdash S_{id}\{a_1 : t_1 = E_1, a_2 : t_2 = E_2, \dots a_n : t_n = E_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_n : t_n)$  and structure literal.

1.  $StructreLiteral\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}, H, V$ . (**S-S-L-1**)
2. By inductive assumption,  $e_i, H, V \longrightarrow e'_{i+1}, H', V'$ . So,  $StructreLiteral\{S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i, \dots a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i, \dots a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V$ . (**S-S-L-2**)
3.  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i\}, H', V$ . (**S-S-L-3**)
4.  $S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}$  is a structure literal.

**Case 7:**  $\vdash e.id$

1.  $e.id$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
2.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id'$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
3.  $ref\{id, l\}.id'$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$

Figure 84: Progress 1 Part 1 B

## Continuation 2 Progress 1 Continued

**Progress 1 Part 2:** If  $\vdash E : \text{void} \times T$ , then  $E$  is  $\underline{\quad}$ , or there exists some  $E'$ , such that  $E, H, V \longrightarrow E', H', V'$ .

**Case 1:**  $\vdash \text{let } id : t = e; St : T_1 \times T_2$

1.  $\text{let } id : t = e; St$ : By inductive assumption,  $e, H, V \longrightarrow e', H', V'$ . So,  $\text{let } id : t = e; St, H, V \longrightarrow \text{let } id : t = e'; St, H', V' (\mathbf{S-V-D-1})$ .
2.  $\text{let } id : t = v; St, H, V \longrightarrow St \text{ clear}(id, l);, H', V' (\mathbf{S-V-D-2})$   
 $(H', V', l = \text{alloc}(H, V, id, v))$

**Case 2:**  $\vdash id = e; : T_1 \times T_2$

1.  $id = e; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
2.  $id = v; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
3.  $id = \text{ref}\{id', l\}; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

**Case 3:**  $\vdash S_1 S_2 : T_1 \times T_2$

1.  $S_1 S_2$  ( $S_1 \neq \text{let } id : t = v; S_1 \neq \text{return } e;$ ): By inductive assumption,  $S_1, H, V \longrightarrow S'_1, H', V'$ . So,  $S_1 S_2, H, V \longrightarrow S'_1 S_2, H', V' (\mathbf{S-S-1})$
2.  $\underline{\quad} S_2, H, V \longrightarrow S_2, H, V (\mathbf{S-S-2})$
3.  $\text{return } v; S_2, H, V \longrightarrow \text{return } v; , H, V (\mathbf{S-S-3})$

**Case 4:**  $\vdash \text{return } e; : T_1 \times T_2$

1.  $\text{return } e; :$  By inductive assumption  $e, H, V \longrightarrow e', H', V'$ . So,  $\text{return } e; , H, V \longrightarrow \text{return } e'; , H', V' (\mathbf{S-R-1})$

**Case 5:**  $\vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$

1.  $\text{if } b \{S_1\} \text{ else } \{S_2\}$ : By inductive assumption  $b, H, V \longrightarrow b', H', V'$ . So,  $\text{if } b \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow \text{if } b' \{S_1\} \text{ else } \{S_2\}, H', V' (\mathbf{S-C-1})$
2.  $\text{if true } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_1, H, V (\mathbf{S-C-2})$
3.  $\text{if false } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_2, H, V (\mathbf{S-C-3})$

**Case 6:**  $\vdash \text{while } b \{S_1\} : T_1 \times T_2$

1.  $\text{while } b \{St\}, H, V \longrightarrow \text{if } b \{St \text{ while } b \{St\} \text{ else } \{St\}\}, H, V (\mathbf{S-C-5})$

Figure 85: Progress 1 Part 2 A

### Continuation 3 Progress 1 Continued

**Case 7:**  $\vdash e_1.id = e_2$

1.  $e_1.id = e_2$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
2.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = e$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
3.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = v$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
4.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = ref\{id'', l\}$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$

**Case 8:**  $\vdash \text{Global Definitions}$

1. **Global Definitions** reduce. (**S-G-1**) I don't list it here, because it is clear they reduce by rule S-G-1 and it is a very large rule.

**Case 9:**  $\vdash e;$

1.  $e; , H \longrightarrow e'; , H', V'$  : By inductive assumption,  $e, H \longrightarrow e', H', V'$ , so  $e; , H \longrightarrow e'; , H', V'$  : (**S-O-1**)
2.  $v; , H, V \longrightarrow \_, H, V$  (**S-O-2**)

**Case 10:**  $\vdash free(id);$

1.  $free(id);$  This is impossible. Since  $E_s$  is empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

**Case 11:**  $\vdash clear(id, l);$

1.  $clear(id, l);$  This is impossible. Since  $R_s$  and  $E_s$  are empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

Figure 86: Progress 1 Part 2 B

### Theorem 7.2 Progress 2

**Progress 2 Part 1:** If  $\vdash E : T$  and  $T$  is safe, then  $E$  is an integer, a boolean, a reference literal, a structure literal, or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ .

*Proof by strong induction on the depth of the derivation of  $\vdash E : T$ , (and  $\Gamma \vdash E : \text{void} \times T_2$ , see Progress 2 Part 2). Consider the last step of the derivation:*

**Case 1:**  $\vdash i$ :  $i$  is an integer.

**Case 2:**  $\vdash b$ :  $b$  is a boolean.

**Case 3:**  $\vdash e_1 \text{ op } e_2 : \text{int}$  and  $\text{op} \in \{+, -, *\}$

1.  $e_1 \text{ op } e_2$ : By inductive assumption,  $e_1, H, V \rightarrow e'_1, H', V'$ . So,  $e_1 \text{ op } e_2, H, V \rightarrow e'_1, H', V' \text{ op } e_2$  (**S-E-1**)
2.  $n \text{ op } e$ : By inductive assumption,  $e, H, V \rightarrow e', H', V'$ . So,  $n \text{ op } e, H, V \rightarrow n \text{ op } e', H', V'$  (**S-E-2**)
3.  $n \text{ op } m, H, V \rightarrow v, H, V$  (**S-E-1c**)
4.  $\text{Error op } e_2, H, V \rightarrow \text{Error}, H, V$  (**S-E-1d**)
5.  $n \text{ op } \text{Error}, H, V \rightarrow \text{Error}, H, V$  (**S-E-1e**)
6.  $v \text{ op } e_2, H, V \rightarrow \text{Error}, H, V$  (**S-E-1f**)
7.  $n \text{ op } v, H, V \rightarrow \text{Error}, H, V$  (**S-E-1g**)

**Case 4:**  $\vdash id : t, \vdash *ref(id, v) : t_2, \vdash &id : t_3$  and  $\vdash ref\{id, l\} : t_4$

1.  $id$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
2.  $*ref(id, v)$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
3.  $\&id$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
4.  $ref\{id, l\}$ : This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

Figure 87: Progress 2 Part 1 A

## Continuation 4

**Case 5:**  $\vdash \text{FunctionLiteral}\{\text{St}\} : T$

1.  $\text{FunctionLiteral}\{\text{St}\}, H, V \longrightarrow \text{FunctionLiteral}\{\text{St}\}, H', V'$ : By inductive assumption,  $\text{St}, H, V \longrightarrow \text{St}', H', V'$ . So,  $\text{FunctionLiteral}\{\text{St}\}, H, V \longrightarrow \text{FunctionLiteral}\{\text{St}'\}, H', V'$ . (**S-F-1**)
2.  $\text{FunctionLiteral}\{\text{return } v; \text{ clear}(id_1, l_1); \text{clear}(id_2, l_2); \dots \text{clear}(id_n, l_n); \}, H, V \longrightarrow v, H', V'$  (**S-F-2**)
3.  $\text{FunctionLiteral}\{\text{Error clear}(id_1, id_2, \dots id_n); \}, H, V \longrightarrow \text{Error}, H, V$  (**S-F-3**)

**Case 6:**  $\vdash S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\} : S_{id}(a_1 = e_1, t_2 = t_2, \dots a_n = t_n)$

1.  $\text{StructtrueLiteral}\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}, H, V$ . (**S-S-L-1**)
2. By inductive assumption,  $e_i, H, V \longrightarrow e'_{i+1}, H', V'$ . So,  $\text{StructtrueLiteral}\{S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V$ . (**S-S-L-2**)
3.  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_i : t_i = l_i\}, H', V$ . (**S-S-L-3**)
4.  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = \text{Error}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V \longrightarrow \text{Error}, H, V$  (**S-S-L-4**)

**Case 7:**  $\vdash e.id$

1.  $e.id$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
2.  $*\text{ref}(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id'$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
3.  $\text{ref}\{id, l\}.id'$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$

Figure 88: Progress 1 Part 1 B

## Continuation 5

**Progress 2 Part 2:** If  $\vdash E : \alpha \times T$ , then  $E$  is  $\_$ , Error, or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ .

**Case 1:**  $\vdash \text{let } id : t = e; St : T_1 \times T_2$

1.  $\text{let } id:t = e; St$ : By inductive assumption,  $e, H, V \rightarrow e', H', V'$ . So,  $\text{let } id:t = e; St, H, V \rightarrow \text{let } id:t = e'; St, H', V' (\mathbf{S-V-D-1})$ .
2.  $\text{let } id:t = v; St, H, V \rightarrow St \text{ clear}(id, l);, H', V' (\mathbf{S-V-D-2})$   
 $(H', V', l = \text{alloc}(H, V, id, v))$
3.  $\text{let } id:t = \text{Error}; St: \text{let } id:t = \text{Error}; St, H, V \rightarrow \text{Error}, H, V (\mathbf{S-V-D-3})$ .
4.  $\text{let } id:t = v; St, H, V \rightarrow St;, H', V' (\mathbf{S-V-D-4})$   
 $(H', V' = \text{alloc}(H, V, id, v))$

**Case 2:**  $\vdash id = e; : T_1 \times T_2$

1.  $id = e; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
2.  $id = v; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
3.  $id = \text{ref}\{id', l\}; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .
4.  $id = \text{Error}; :$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

**Case 3:**  $\vdash S_1 S_2 : T_1 \times T_2$

1.  $S_1 S_2 (S_1 \neq \text{let } id:t = v; S_1 \neq \text{return } e;)$ : By inductive assumption,  $S_1, H, V \rightarrow S'_1, H', V'$ . So,  $S_1 S_2, H, V \rightarrow S'_1 S_2, H', V' (\mathbf{S-S-1})$
2.  $\_ S_2, H, V \rightarrow S_2, H, V (\mathbf{S-S-2})$
3.  $\text{return } v; S_2, H, V \rightarrow \text{return } v;, H, V (\mathbf{S-S-3})$
4.  $\text{Error } St, H, V \rightarrow \text{Error}, H, V (\mathbf{S-S-4})$

**Case 4:**  $\vdash \text{return } e; : T_1 \times T_2$

1.  $\text{return } e; :$  By inductive assumption  $e, H, V \rightarrow e', H', V'$ . So,  $\text{return } e;, H, V \rightarrow \text{return } e';, H', V' (\mathbf{S-R-1})$
2.  $\text{return } \text{Error};, H, V \rightarrow \text{Error}, H, V (\mathbf{S-R-2})$

Figure 89: Progress 2 Part 2 A

## Continuation 6

**Case 5:**  $\vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$

1.  $\text{if } b \{S_1\} \text{ else } \{S_2\}$ : By inductive assumption  $b, H, V \rightarrow b', H', V'$ . So,  $\text{if } b \{S_1\} \text{ else } \{S_2\}, H, V \rightarrow \text{if } b' \{S_1\} \text{ else } \{S_2\}, H', V'$  (**S-C-1**)
2.  $\text{if true } \{S_1\} \text{ else } \{S_2\}, H, V \rightarrow S_1, H, V$  (**S-C-2**)
3.  $\text{if false } \{S_1\} \text{ else } \{S_2\}, H, V \rightarrow S_2, H, V$  (**S-C-3**)
4.  $\text{if Error } \{S_1\} \text{ else } \{S_2\}, H, V \rightarrow \text{Error}, H, V$  (**S-C-4**)

**Case 6:**  $\vdash \text{while } b \{S_1\} : T_1 \times T_2$

1.  $\text{while } b \{St\}, H, V \rightarrow \text{if } b \{St \text{ while } b \{St\}\} \text{ else } \{St\}, H, V$  (**S-C-5**)

**Case 7:**  $\vdash e_1.id = e_2$

1.  $e_1.id = e_2$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
2.  $*ref(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
3.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = v$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$
4.  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = ref\{id'', l\}$  This is impossible. Since  $R_s$  and  $E_s$  are both empty, this cannot possibly be the last derivation;  $id \notin \emptyset$

Figure 90: Progress 2 Part 2 B

## Continuation 7

### Case 8: $\vdash \text{Global Definitions}$

1. **Global Definitions** reduce. (**S-G-1**) I don't list them here, because it is clear they reduce by rule S-G-1 and it is a very large rule.

### Case 9: $\vdash e;$

1.  $e; , H \longrightarrow e'; , H', V' :$  By inductive assumption,  $e, H \longrightarrow e', H', V'$ , so  $e; , H \longrightarrow e'; , H', V' :$  (**S-O-1**)
2.  $v; , H, V \longrightarrow \_, H, V$  (**S-O-2**)
3.  $Error; , H, V \longrightarrow Error, H, V$  (**S-O-3**)

### Case 10: $\vdash \text{free}(id);$

1.  $\text{free}(id);$  This is impossible. Since  $E_s$  is empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

### Case 11: $\vdash \text{clear}(id, l);$

1.  $\text{clear}(id, l);$  This is impossible. Since  $R_s$  and  $E_s$  are empty, this cannot possibly be the last derivation;  $id \notin \emptyset$ .

Figure 91: Progress 2 Part 2 C

## 8 Preservation

### 8.1 Preliminaries

**Statement Reduction Lemma:** For all  $\text{St}$ , where  $\Gamma \vdash \text{St} : T_1 \times T_2$ ,  $\text{St} = \underline{\quad}$  or  $\text{St}, H, V \longrightarrow \text{St}', H', V'$ .

Proof: I give a reduction for every possible statement while proving preservation. For the sake of brevity, I omit them here; just note all statements are either  $\underline{\quad}$  or they reduce. This Lemma for statements with nested statements.

**Underscore Lemma:**  $\Gamma \vdash \underline{\quad} : T_1 \times T_2$

Proof: Since  $\Gamma \vdash \underline{\quad} : \text{void} \times \text{void}$ , by **(TC-C-7)**  $\Gamma \vdash \underline{\quad} : T_1 \times T_2$ .

### 8.2 Preservation Theorems

#### Theorem 8.1 Preservation 1

**Preservation 1 Part 1:** Let  $\Gamma$  be some typing context:  $R, E$ . If  $\Gamma \vdash E : T$  and  $T$  is safe,  $\Gamma \vdash H, V$  and  $E, H, V \longrightarrow E', H', V'$ . Then  $\Gamma \vdash E' : T$  and  $\Gamma \vdash H', V'$ .

*Proof by strong induction on the depth of the derivation of  $\Gamma \vdash E : T$ , (and  $\Gamma \vdash E : \text{void} \times T_2$ , see Preservation 1 Part 2). Consider the last step of the derivation:*

**Case 1:**  $i$  is an integer, thus it cannot be reduced.

**Case 2:**  $b$  is a boolean, thus it cannot be reduced.

Figure 92: Preservation 1 Part 1 A

## Continuation 8

**Case 3:**  $e_1 \ op \ e_2 \ op \in \{+, -, *\}$

$\Gamma \vdash E_1 \ op \ E_2 : \text{int}$ , and by inversion of the typing rules,  $\Gamma \vdash E_1 : \text{int}$  and  $\Gamma \vdash E_2 : \text{int}$ .

Consider the possible reductions:

1. **(S-E-1)**  $e_1 \ op \ e_2, H, V \longrightarrow e'_1 \ op \ e_2, H', V'$

$e_1, H, V \longrightarrow e'_1, H', V'$  (by inversion of the evaluation rule). So, by inductive assumption,  $\Gamma \vdash e'_1 : \text{int}$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash e'_1 \ op \ e_2 : \text{int}$ .

2. **(S-E-1b)**  $n \ op \ e, H, V \longrightarrow n \ op \ e, H', V'$

$e, H, V \longrightarrow e', H', V'$  (by inversion of the evaluation rule). So, by inductive assumption,  $\Gamma \vdash e' : \text{int}$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash n \ op \ e' : \text{int}$ .

3. **(S-E-1c)**  $n \ op \ m, H, V \longrightarrow v, H, V$ . I assume the basic laws of arithmetic, thus  $n \ op \ m$  is an integer.  $\Gamma \vdash v : \text{int}$  (**TS-E-1**)

**Case 4:**  $id, *ref(id, v), \&id$ , and  $ref\{id, l\}$

Since  $\Gamma \vdash id : T_1, \Gamma \vdash *ref(id, v) : T_2, \Gamma \vdash \&id : T_3$  and  $\Gamma \vdash ref\{id, l\} : T_4$ , and  $\Gamma \vdash H, V$ , also  $H(V(id)) = v$

Consider the possible reductions:

1.  $\Gamma \vdash id : *ref(id, t)$ , then  $id, H, V \longrightarrow *ref(id, v), H, V$  (**S-V-R-1**).  $\Gamma \vdash *ref(id, v) : *ref(id, t)$  (**TS-R-3**)
2.  $\Gamma \vdash *ref(id, v) : t$  (**TS-R-2**), so  $*ref(id, v), H, V \longrightarrow v, H, V$  (**S-V-R-2**) and  $\Gamma \vdash v : t$
3.  $\Gamma \vdash \&id : ref(id, t)$ , then  $\&id, H, V \longrightarrow ref\{id, v\}, H, V$  (**S-V-R-4**).  $\Gamma \vdash ref\{id, v\} : ref(id, t)$  (**TS-R-1**)
4.  $ref\{id, v\}$ , doesn't reduce.

Figure 93: Preservation 1 Part 1 B

## Continuation 9

**Case 5:**  $\Gamma \vdash \text{FunctionLiteral}\{St\} : T$ , by inversion of the type rules,  $\Gamma \vdash St : \text{void} \times T_2$

1.  $\text{FunctionLiteral}\{St\}, H, V \longrightarrow \text{FunctionLiteral}\{St'\}, H', V' \quad (\mathbf{S-F-1})$

$St, H, V \longrightarrow St', H', V' \quad (\mathbf{Statement Reduction Lemma}).$  By inductive assumption (the second part of the assumption, see Preservation 1 Part 2),  $\Gamma \vdash St' : \text{void} \times T_2$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash \text{FunctionLiteral}\{St'\} : T$ .

2.  $\text{FunctionLiteral}\{\text{return } v; \text{clear}(id, l); \text{clear}(id_2, l_2); \dots \text{clear}(id_n, clear_n)\}, H, V \longrightarrow v, H', V' \quad (\mathbf{S-F-2})$ .

Recall,  $\Gamma \vdash \text{return } v : \text{void} \times T_2$ , and  $T_2$  must be the same type as  $v$ , by inversion of the typing judgement for return (**TS-R-1**). Thus,  $\Gamma \vdash v : T$ . Also,  $\Gamma \vdash H', V'$ . By the typing premises (**TS-F-2**)  $H(l) = v$ ,  $\forall l \in l_1, l_2, \dots, l_n$ , and  $V(id) = l$  and  $R(id) \neq t$ ,  $\forall id \in id_1, id_2, \dots, id_n$ . So,  $\Gamma \vdash H', V'$  where  $H', V' = \text{clear}(H, V, id_1, l_1, id_2, l_2, \dots, l_n, id_n)$

**Case 6:**  $\Gamma \vdash \text{StructLiteral}\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots, a_n : t_n = e_n\}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$ , by inversion of the typing rules,  $\Gamma \vdash S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots, a_n : t_n = e_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$

1.  $\text{StructLiteral}\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots, a_n : t_n = e_n\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots, a_n : t_n = e_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n) \quad (\mathbf{S-S-L-1})$ . Recall,  $\Gamma \vdash S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots, a_n : t_n = e_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$

**Case 7:**  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_i : t_i = v_i, \dots, a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots, a_{i+n} : t_{i+n} = e_{i+n}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_i : t_i, \dots, a_{i+1} : t_{i+1}, a_{i+2} : t_{i+2}, \dots, a_{i+n} : t_{i+n})$ . Also, by inversion of the typing rules,  $\Gamma \vdash e_{i+1} : t_{i+1}$ .

1.  $e_{i+1}, H, V \longrightarrow e'_{i+1}, H', V'$ , so by inductive assumption  $\Gamma \vdash e'_{i+1} : t_{i+1}$  and  $\Gamma \vdash H', V'$ .  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_i : t_i = v_i, \dots, a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots, a_{i+n} : t_{i+n} = e_{i+n}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_i : t_i = v_i, \dots, a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots, a_{i+n} : t_{i+n} = e_{i+n}\}, H', V' \quad (\mathbf{S-S-L-2})$  Thus,  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_i : t_i = v_i, \dots, a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots, a_{i+n} : t_{i+n} = e_{i+n}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_i : t_i, \dots, a_{i+1} : t_{i+1}, a_{i+2} : t_{i+2}, \dots, a_{i+n} : t_{i+n})$

**Case 8:**  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_n : t_n = v_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$

1. **(S-S-L-3)**  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots, a_n : t_n = v_n\} \longrightarrow S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}, H', V$ . From the rule,  $H' = \text{alloc}(H, v_1, v_2, \dots, v_n)$  Since,  $\Gamma \vdash H, l_1, l_2, \dots, l_n \notin \text{domain}(H)$  and  $H' = H[v_1/l_1][v_2/l_2] \dots [v_n/l_n] \Gamma \vdash H', V$ .

**Case 9:**  $\Gamma \vdash S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots, a_n : t_n)$

1.  $S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots, a_n : t_n = l_n\}$  doesn't reduce.

Figure 94: Preservation 1 Part 1 B

## Continuation 10

**Case 10:**  $\Gamma \vdash e.id : t, \Gamma \vdash e : T$

1. **(S-S-R-1)**  $e.id, H, V \longrightarrow e'.id, H', V'$

$e, H, V \longrightarrow e', H', V'$ , by inductive assumption,  $\Gamma \vdash e' : T$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash e'.id : t$ .

2. **(S-S-R-2)**  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id', H, V \longrightarrow v, H, V$ .  
Since  $\Gamma \vdash H$ ,  $H(l_i) = v$  and  $\Gamma \vdash v : t$

3. **(S-S-R-3)**  $ref\{id, l\}.id', H, V \longrightarrow ref\{id, l_i\}, H, V$ . Note  $\Gamma \vdash ref\{id, l\}.id' : ref(id, t)$ . Since  $\Gamma \vdash H$ ,  $H(l_i) = v$  and  $\Gamma \vdash v : t$ . Thus,  $\Gamma \vdash ref\{id, l_i\} : ref(id, t)$ . **(TS-R-1)**

Figure 95: Preservation 1 Part 1 C

## Continuation 11

**Preservation 1 Part 2:** Let  $\Gamma$  be some typing context:  $F_d, S_d, R_s, E_s$ . If  $\Gamma \vdash E : void \times T$ ,  $\Gamma \vdash H, V$  and  $E, H, V \longrightarrow E', H', V'$ . Then  $\Gamma \vdash E' : void \times T$  and  $\Gamma \vdash H', V'$ .

**Case 1:** let  $id:t = e; St$

$\Gamma \vdash \text{let } id:t = e; St : void \times T$ , by inversion of the rules  $\Gamma \vdash e : T_e$  and  $\Gamma \vdash St : void \times T$

Consider the possible reductions:

1. **(S-V-D-1)**  $\text{let } id:t = e; St, H, V \longrightarrow \text{let } id:t = e'; St, H', V'$

$e, H, V \longrightarrow e', H', V'$ . By Preservation Part 1  $\Gamma \vdash e' : T_e$  and  $\Gamma \vdash H', V'$ .  $St$  didn't change, so  $\Gamma \vdash St : void \times T$ . Thus,  $\Gamma \vdash \text{let } id:t = e'; St : void \times T$ .

2. **(S-V-D-2)**  $\text{let } id:t = v; St, H, V \longrightarrow St \text{ clear}(id, l);, H', V'$  ( $H', V', l = alloc(H, V, id, v)$ ).  $\Gamma \vdash St : void \times T$  (**TS-O-4**) and  $\Gamma \vdash \text{clear}(id, l); : void \times void$  (**TS-O-3**). So, by (**TS-C-5**) and (**TS-C-7**),  $\Gamma \vdash St \text{ clear}(id, l); : void \times T$ . Also,  $H' = H[v/l]$  and  $V' = V[l/id]$ ,  $l \notin domain(H)$  and  $id \notin domain(V)$ , so if  $\Gamma \vdash H, V$ , then  $\Gamma \vdash H', V'$ .

Figure 96: Preservation 1 Part 2 A

## Continuation 12

**Case 2:**  $\text{id} = e;$

$\Gamma \vdash \text{id} = e : T_1 \times T_2$ , by inversion of the rule,  $\Gamma \vdash e : T_e$

Consider the possible reductions:

1. **(S-V-A-1)**  $\text{id} = e; H, V \longrightarrow id = e'; H', V'$

$e, H, V \longrightarrow e', H', V'$ . By inductive assumption,  $\Gamma \vdash e' : T_e$ , and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash id = e'; T_1 \times T_2$ .

2. **(S-V-A-2)**  $\text{id} = v; H, V \longrightarrow \_, H', V, \Gamma \vdash \_ : T_1 \times T_2$  (**Underscore Lemma**). Since  $R(id) = t$  and  $\Gamma \vdash H, V, H(V(id)) = v'$  and  $\Gamma \vdash v' : t$ . Since  $H' = H[v/l]$ ,  $H(V(id)) = v$  and  $\Gamma \vdash v : t$ , so  $\Gamma \vdash H', V$ .
3. **(S-V-A-3)**  $\text{id} = \text{ref}\{id', l\}; H, V \longrightarrow \_, H, V', \Gamma \vdash \_ : T_1 \times T_2$  (**Underscore Lemma**). Since  $R(id) = t$  and  $\Gamma \vdash H, V, V(id) = l'$ ,  $H(l') = v$  and  $\Gamma \vdash v : t$ . By inversion of the typing rules  $H(l) = v$  and  $\Gamma \vdash v : t$ , so  $\Gamma \vdash H, V'$ .

**Case 3:**  $S_1 S_2$

$\Gamma \vdash S_1 S_2 : T_1 \times T_2$ , by inversion of the rules,  $\Gamma \vdash S_1 : T_3 \times T_4$ , and  $\Gamma \vdash S_2 : T_5 \times T_6$

Consider the possible reductions:

1. **(S-S-1)**  $S_1 S_2, H, V \longrightarrow, H', V'$  ( $S_1 \neq \text{let } id : t = v; S_1 \neq \text{return } e;$ )

$S_1, H, V \longrightarrow S'_1, H', V'$  (**Statement Reduction Lemma**). So, by inductive assumption,  $\Gamma \vdash S'_1 : T_3 \times T_4$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash S'_1 S_2 : T_1 \times T_2$ .

2. **(S-S-2)**  $\_ S_2, H, V \longrightarrow S_2, H, V$ ,  $\Gamma \vdash S_2 : T_1 \times T_2$  (**TS-C-5**)

3. **(S-S-3)**  $\text{return } v; S_2, H, V \longrightarrow \text{return } v; H, V : \Gamma \vdash \text{return } v; : T_1 \times T_2$ . (Either return is the same type as the original expression, or (**TS-C-7**) is applicable).

**Case 4:**  $\text{return } e; \Gamma \vdash \text{return } e; : T_1 \times T_2$ , by inversion of the rules  $\Gamma \vdash e : T_e$

1. **(S-R-1)**  $\text{return } e; H, V \longrightarrow \text{return } e'; H', V'$

$e, H, V \longrightarrow e', H', V'$ . So, by inductive assumption  $\Gamma \vdash e : T_e$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash \text{return } e'; : T_1 \times T_2$ .

Figure 97: Preservation 1 Part 2 B

### Continuation 13

**Case 5:**  $\Gamma \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$ . By inversion of the typing rule  $\Gamma \vdash b : T_e$ ,  $\Gamma \vdash S_1 : T_3 \times T_4$ , and  $\Gamma \vdash S_2 : T_5 \times T_6$

1. **(S-C-1)**  $\text{if } b \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow \text{if } b' \{S_1\} \text{ else } \{S_2\}, H', V'$

$b, H, V \longrightarrow b', H', V'$ , so by inductive assumption  $\Gamma \vdash b' : T_e$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash \text{if } b' \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$ .

2. **(S-C-2)**  $\text{if true } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_1, H, V$ . (Either  $S_1$  is the same type as the original expression, or **(TS-C-7)** is applicable).
3. **(S-C-2)**  $\text{if false } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_1, H, V$ . (Either  $S_1$  is the same type as the original expression, or **(TS-C-7)** is applicable).

**Case 6:**  $\Gamma \vdash \text{while } b \{S_1\} : T_1 \times T_2$

1. **(S-C-6)**  $\text{while } b \{St\}, H, V \longrightarrow \text{if } b \{St \text{ while } b \{St\}\} \text{ else } \{St\}, H, V$

Since they share the same condition  $b$  and the same statement  $St$ ,  
 $\Gamma \vdash \text{if } b \{St \text{ while } b \{St\}\} \text{ else } \{St\} : T_1 \times T_2$ .

**Case 7:**  $\Gamma \vdash e_1.id = e_2 : T \times \text{void}$ ,  $\Gamma \vdash e_1 : t_1$ ,  $\Gamma \vdash e_2 : t_2$

1. **(S-S-A-1)**  $e_1.id = e_2, H, V \longrightarrow e'_1.id = e_2, H', V'$

$e_1, H, V \longrightarrow e'_1, H', V'$ , by inductive assumption,  $\Gamma \vdash e'_1 : t_1$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash e'_1.id = e_2 : T \times \text{void}$ .

2. **(S-S-A-2)**  $*\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e, H, V \longrightarrow *\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e', H', V'$

$e_2, H, V \longrightarrow e'_2, H', V'$ , by inductive assumption,  $\Gamma \vdash e'_2 : t_2$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash *\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e' : T \times \text{void}$ .

3. **(S-S-A-3)**  $*\text{ref}(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = v, H, V \longrightarrow \_, H', V'$

$\Gamma \vdash \_ : T \times \text{void}$  (**Underscore Lemma**). Since  $\Gamma \vdash H, H(l_i) = v'$  and  $\Gamma \vdash v' : t_i$ . By inversion of the typing rules  $\Gamma \vdash v : t_i$ , also  $H' = H[v/l]$ , so  $\Gamma \vdash H', V'$

4. **(S-S-A-4)**  $*\text{ref}(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = \text{ref}\{id'', l\}, H, V \longrightarrow \_, H', V'$

$\Gamma \vdash \_ : T \times \text{void}$  (**Underscore Lemma**). Since  $\Gamma \vdash H, H(l_i) = v'$  and  $\Gamma \vdash v' : t_i$ . By inversion of the typing rules,  $H(l) = v$  and  $\Gamma \vdash v : t_i$ .  $V' = V[id/l]$ , so  $\Gamma \vdash H', V'$ .

Figure 98: Preservation 1 Part 2 C

## Continuation 14

**Case 8:**  $\Gamma \vdash \text{Global Definitions } St$

1. **(S-G-1) Global Definitions**  $St \longrightarrow St'$  (**Substitution Assumption**)

**Case 9:**  $\Gamma \vdash e; : void \times void$ . By inversion of the rules,  $\Gamma \vdash e : t$

1. **(S-O-1)**  $e; , H \longrightarrow e'; , H', V' :$  By inductive assumption,  $\Gamma \vdash e : t$ , and  $\Gamma \vdash H', V'$ , so  $\Gamma \vdash e; : void \times void$ .

2.  $v; , H, V \longrightarrow \_, H, V$   $\Gamma \vdash \_ : void \times void$ . (**Underscore Lemma**)

**Case 11:**  $\Gamma \vdash \text{clear}(id, l); : void \times void$

1.  $\text{clear}(id, void); , H, V \longrightarrow \_, H', V' : \Gamma \vdash \_ : void \times void$  (**Underscore Lemma**) and  $\Gamma \vdash H', V'$  (**Clear Assumption**)

Figure 99: Preservation 1 Part 2 D

## Theorem 8.2 Preservation 2

**Preservation 2 Part 1:** Let  $\Gamma$  be some typing context:  $F_d, S_d, R_s, E_s$ . If  $\Gamma \vdash E : T$  and  $T$  is unsafe,  $\Gamma \vdash H, V$  and  $E, H, V \longrightarrow E', H', V'$ . Then  $\Gamma \vdash E' : T$  and  $\Gamma \vdash H', V'$ .

*Proof by strong induction on the depth of the derivation of  $\Gamma \vdash E : T$ , (and  $\Gamma \vdash E : \alpha \times T_2$ , see Preservation Part 2). Consider the last step of the derivation:*

**Case 1:**  $i$  is an integer, thus it cannot be reduced.

**Case 2:**  $b$  is a boolean, thus it cannot be reduced.

Figure 100: Preservation 2 Part 1 A

## Continuation 15

**Case 3:**  $e_1 \ op \ e_2 \ op \in \{+, -, *\}$ ,

$\Gamma \vdash E_1 \ op \ E_2 : \alpha$ , and by inversion of the typing rules,  $\Gamma \vdash E_1 : T_1$  and  $\Gamma \vdash E_2 : T_2$ .

Consider the possible reductions:

$$1. \ (\mathbf{S-E-1}) \ e_1 \ op \ e_2, H, V \longrightarrow e'_1 \ op \ e_2, H', V'$$

$e_1, H, V \longrightarrow e'_1, H', V'$  (by inversion of the evaluation rule). So, by inductive assumption,  $\Gamma \vdash e'_1 : T_1$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash e'_1 \ op \ e_2 : \alpha$ .

$$2. \ (\mathbf{S-E-1b}) \ n \ op \ e, H, V \longrightarrow n \ op \ e, H', V'$$

$e, H, V \longrightarrow e', H', V'$  (by inversion of the evaluation rule). So, by inductive assumption,  $\Gamma \vdash e' : T_2$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash n \ op \ e'_2 : \alpha$ .

$$3. \ (\mathbf{S-E-1c}) \ n \ op \ m, H, V \longrightarrow v, H, V. \text{ I assume the basic laws of arithmetic, thus } n \ op \ m \text{ is an integer. So, } \Gamma \vdash v : \text{int}, \text{ but by (TS-E-4), } \Gamma \vdash v : \alpha. \text{ (The type: int and everything else is a sub-type of alpha).}$$

$$4. \ (\mathbf{S-E-1d}) \ \text{Error} \ op \ e_2, H, V \longrightarrow \text{Error}, H, V. \Gamma \vdash \text{Error} : \alpha \ (\mathbf{TS-E-5})$$

$$5. \ (\mathbf{S-E-1e}) \ n \ op \ \text{Error}, H, V \longrightarrow \text{Error}, H, V. \Gamma \vdash \text{Error} : \alpha \ (\mathbf{TS-E-5})$$

$$6. \ (\mathbf{S-E-1f}) \ v \ op \ e_2, H, V \longrightarrow \text{Error}, H, V. \Gamma \vdash \text{Error} : \alpha \ (\mathbf{TS-E-5})$$

$$7. \ (\mathbf{S-E-1g}) \ n \ op \ v, H, V \longrightarrow \text{Error}, H, V. \Gamma \vdash \text{Error} : \alpha \ (\mathbf{TS-E-5})$$

Figure 101: Preservation 2 Part 1 B

## Continuation 16

**Case 4:**  $id$ ,  $*ref(id, v)$ ,  $\&id$ , and  $ref\{id, l\}$

Since  $\Gamma \vdash id : T_1, \Gamma \vdash *ref(id, v) : T_2, \Gamma \vdash \&id : T_3$  and  $\Gamma \vdash ref\{id, l\} : T_4$ , and  $\Gamma \vdash H, V$ , then  $H(V(id)) = v$

Consider the possible reductions:

1.  $\Gamma \vdash id : *ref(id, t)$ , then  $id, H, V \longrightarrow *ref(id, v), H, V$  (**S-V-R-1**).  $\Gamma \vdash *ref(id, v) : *ref(id, t)$  (**TS-R-3**)
2.  $\Gamma \vdash *ref(id, v) : t$  (**TS-R-2**), so  $*ref(id, v), H, V \longrightarrow v, H, V$  (**S-V-R-2**) and  $\Gamma \vdash v : t$
3.  $\Gamma \vdash \&id : ref(id, t)$ , then  $\&id, H, V \longrightarrow ref\{id, v\}, H, V$  (**S-V-R-4**).  $\Gamma \vdash ref\{id, v\} : ref(id, t)$  (**TS-R-1**)
4.  $ref\{id, v\}$ , doesn't reduce.
5.  $\Gamma \vdash id : t$  (**TS-R-2**)  $id, H, V \longrightarrow Error, H, V$  (**S-V-R-3**)  $\Gamma \vdash Error : t$  (**TS-E-5**)
6.  $\Gamma \vdash \&id : t$  (**TS-R-2**)  $\&id, H, V \longrightarrow Error, H, V$  (**S-V-R-5**)  $\Gamma \vdash Error : t$  (**TS-E-5**)

Figure 102: Preservation 2 Part 1 C

## Continuation 17

**Case 5:**  $\Gamma \vdash FunctionLiteral\{St\} : T$ , by inversion of the type rules,  $\Gamma \vdash St : \alpha \times T_2$

1.  $FunctionLiteral\{St\}, H, V \longrightarrow FunctionLiteral\{St'\}, H', V' \text{ } (\mathbf{S}\text{-}\mathbf{F}\text{-}1)$

$St, H, V \longrightarrow St', H', V'$  (**Statement Reduction Lemma**). By inductive assumption (the second part of the assumption, see Preservation Part 2),  $\Gamma \vdash St' : \alpha \times T_2$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash FunctionLiteral\{St'\} : T$ .

2.  $FunctionLiteral\{return v; clear(id_1, l_1); clear(id_2, l_2); \dots clear(id_n, l_n); \}, H, V \longrightarrow v, H, V \text{ } (\mathbf{S}\text{-}\mathbf{F}\text{-}2)$ . Recall,  $\Gamma \vdash return v; : \alpha \times T_2$ , and  $T_2$  must be the same type as  $v$ , by inversion of the typing judgement for return (**TS-R-1**), or . Thus,  $\Gamma \vdash v : T$ . Also,  $\Gamma \vdash H', V' \text{ } (\mathbf{Clear Assumption})$

**Case 6:**  $\Gamma \vdash StructLiteral\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_n : t_n)$ , by inversion of the typing rules,  $\Gamma \vdash S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_n : t_n)$

1.  $StructLiteral\{S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\}, H, V \text{ } (\mathbf{S}\text{-}\mathbf{S}\text{-}\mathbf{L}\text{-}1)$ . Recall,  $\Gamma \vdash S_{id}\{a_1 : t_1 = e_1, a_2 : t_2 = e_2, \dots a_n : t_n = e_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_n : t_n)$

**Case 7:**  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_i : t_i \dots a_{i+1} : t_{i+1}, a_{i+2} : t_{i+2}, \dots a_{i+n} : t_{i+n})$ . Also, by inversion of the typing rules,  $\Gamma \vdash e_{i+1} : t_{i+1}$ .

1.  $e_{i+1}, H, V \longrightarrow e'_{i+1}, H', V'$ , so by inductive assumption  $\Gamma \vdash e'_{i+1} : t_{i+1}$  and  $\Gamma \vdash H', V'$ .  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V \longrightarrow S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H', V' \text{ } (\mathbf{S}\text{-}\mathbf{S}\text{-}\mathbf{L}\text{-}2)$  Thus,  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = e'_{i+1}, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_i : t_i \dots a_{i+1} : t_{i+1}, a_{i+2} : t_{i+2}, \dots a_{i+n} : t_{i+n})$

2.  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_i : t_i = v_i \dots a_{i+1} : t_{i+1} = Error, a_{i+2} : t_{i+2} = e_{i+2}, \dots a_{i+n} : t_{i+n} = e_{i+n}\}, H, V \longrightarrow Error, H, V \text{ } (\mathbf{S}\text{-}\mathbf{S}\text{-}\mathbf{L}\text{-}4)$  Thus,  $\Gamma \vdash Error : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_i : t_i \dots a_{i+1} : t_{i+1}, a_{i+2} : t_{i+2}, \dots a_{i+n} : t_{i+n}) \text{ } (\mathbf{TS}\text{-}\mathbf{E}\text{-}4)$

**Case 8:**  $\Gamma \vdash S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_n : t_n = v_n\} : S_{id}(a_1 : t_1, a_2 : t_2, \dots a_n : t_n)$

1. **(S-S-L-3)**  $S_{id}\{a_1 : t_1 = v_1, a_2 : t_2 = v_2, \dots a_n : t_n = v_n\} \longrightarrow S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}, H', V$ . From the rule,  $H' = alloc(H, v_1, v_2, \dots v_n)$  Also,  $\Gamma \vdash H', V$  Since,  $\Gamma \vdash H, l_1, l_2, \dots l_n \notin domain(H)$  and  $H' = H[v_1/l_1][v_2/l_2] \dots [v_n/l_n]$   $\Gamma \vdash H', V$ .

Figure 103: Preservation 2 Part 1 D

## Continuation 18

**Case 9:**  $\Gamma \vdash e.id : t, \Gamma \vdash e : T$

1. **(S-S-R-1)**  $e.id, H, V \longrightarrow e'.id, H', V'$

$e, H, V \longrightarrow e', H', V'$ , by inductive assumption,  $\Gamma \vdash e' : T$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash e'.id : t$ .

2. **(S-S-R-2)**  $*ref(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id', H, V \longrightarrow v, H, V$ . Since  $\Gamma \vdash H$ ,  $H(l_i) = v$  and  $\Gamma \vdash v : t$

3. **(S-S-R-3)**  $ref\{id, l\}.id', H, V \longrightarrow ref\{id, l_i\}, H, V$ . Note  $\Gamma \vdash ref\{id, l\}.id' : ref(id, t)$ . Since  $\Gamma \vdash H$ ,  $H(l_i) = v$  and  $\Gamma \vdash v : t$ . Thus,  $\Gamma \vdash ref\{id, l_i\} : ref(id, t)$ . **TS-R-1**

4. **(S-S-R-5)**  $v.id', H, V \longrightarrow Error, H, V$  Since,  $\Gamma \vdash e.id : t$  and  $t$  is unsafe,  $\Gamma \vdash Error : t$ . **(TS-E-4)**

Figure 104: Preservation 2 Part 1 E

### Theorem 8.3

**Preservation 2 Part 2:** Let  $\Gamma$  be some typing context:  $F_d, S_d, R_s, E_s$ . If  $\Gamma \vdash E : \alpha \times T$ ,  $\Gamma \vdash H, V$  and  $E, H, V \rightarrow E', H', V'$ . Then  $\Gamma \vdash E' : \alpha \times T$  and  $\Gamma \vdash H', V'$ .

**Case 1:** let  $id:t = e; St$

$\Gamma \vdash \text{let } id:t = e; St : \alpha \times T$ , by inversion of the rules  $\Gamma \vdash e : T_e$  and  $\Gamma \vdash St : \alpha \times T$

Consider the possible reductions:

1. **(S-V-D-1)** let  $id:t = e; St, H, V \rightarrow \text{let } id:t = e'; St, H', V'$   
 $e, H, V \rightarrow e', H', V'$ . By Preservation 2 Part 1  $\Gamma \vdash e' : T_e$  and  $\Gamma \vdash H', V'$ .  
 $St$  didn't change, so  $\Gamma \vdash St : \alpha \times T$ . Thus,  $\Gamma \vdash \text{let } id:t = e'; St : \alpha \times T$ .
2. **(S-V-D-2)** let  $id:t = v; St, H, V \rightarrow St, H', V'$  ( $H', V' = \text{alloc}(H, V, id, v)$ ).  $\Gamma \vdash St : \alpha \times T$  (**TS-O-4**). So,  $\Gamma \vdash St : \alpha \times T$ . Also,  $H' = H[v/l]$  and  $V' = V[l/id]$ ,  $l \notin \text{domain}(H)$  and  $id \notin \text{domain}(V)$ , so if  $\Gamma \vdash H, V$ , then  $\Gamma \vdash H', V'$ .
3. **(S-V-D-3)** let  $id:t = \text{Error}; St, H, V \rightarrow \text{Error}, H, V$ .  $\Gamma \vdash \text{Error} : \alpha \times T$ . (**TS-C-8**)

Figure 105: Preservation Part 2 A

### Continuation 19

**Case 2:**  $id = e;$

$\Gamma \vdash id = e : T_1 \times T_2$ , by inversion of the rule,  $\Gamma \vdash e : T_e$

Consider the possible reductions:

1. **(S-V-A-1)**  $id = e; H, V \rightarrow id = e'; H', V'$   
 $e, H, V \rightarrow e', H', V'$ . By inductive assumption,  $\Gamma \vdash e' : T_e$ , and  $\Gamma \vdash H', V'$ .  
 $Thus, \Gamma \vdash id = e'; T_1 \times T_2$ .
2. **(S-V-A-2)**  $id = v; H, V \rightarrow \_, H, V, \Gamma \vdash \_ : T_1 \times T_2$  (**Underscore Lemma**). Since  $E(id) = t$  and  $\Gamma \vdash H, V, H(V(id)) = v'$  and  $\Gamma \vdash v' : t$ . Since  $H' = H[v/l]$ ,  $H(V(id)) = v$  and  $\Gamma \vdash v : t$ , so  $\Gamma \vdash H', V$ .
3. **(S-V-A-3)**  $id = \text{ref}\{id', l\}; H, V \rightarrow \_, H, V, \Gamma \vdash \_ : T_1 \times T_2$  (**Underscore Lemma**). Since  $R(id) = t$  and  $\Gamma \vdash H, V, V(id) = l'$ ,  $H(l') = v$  and  $\Gamma \vdash v : t$ . By inversion of the typing rules  $H(l) = v$  and  $\Gamma \vdash v : t$ , so  $\Gamma \vdash H, V'$ .
4. **(S-V-A-3)**  $id = \text{Error}; H, V \rightarrow \_, H, V, \Gamma \vdash \text{Error} : T_1 \times T_2$  (**TS-C-8**).

Figure 106: Preservation 2 Part 2 B

## Continuation 20

**Case 3:**  $S_1S_2$

$\Gamma \vdash S_1S_2 : T_1 \times T_2$ , by inversion of the rules,  $\Gamma \vdash S_1 : T_3 \times T_4$ , and  $\Gamma \vdash S_2 : T_5 \times T_6$

Consider the possible reductions:

1. **(S-S-1)**  $S_1S_2, H, V \longrightarrow, H', V'$  ( $S_1 \neq \text{let } id : t = v; S_1 \neq \text{return } e;$ )

$S_1, H, V \longrightarrow S'_1, H', V'$  (**Statement Reduction Lemma**). So, by inductive assumption,  $\Gamma \vdash S'_1 : T_3 \times T_4$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash S'_1S_2 : T_1 \times T_2$ .

2. **(S-S-2)**  $_S S_2, H, V \longrightarrow S_2, H, V$ ,  $\Gamma \vdash S_2 : T_1 \times T_2$  (**TS-C-5**)

3. **(S-S-3)**  $\text{return } v; S_2, H, V \longrightarrow \text{return } v; , H, V : \Gamma \vdash \text{return } v; : T_1 \times T_2$ . (Either return is the same type as the original expression, or (**TS-C-7**) is applicable).

4. **(S-S-4)**  $\text{Error } S_2, H, V \longrightarrow \text{Error}, H, V$ ,  $\Gamma \vdash \text{Error} : T_1 \times T_2$  (**TS-C-8**)

**Case 4:**  $\text{return } e; \Gamma \vdash \text{return } e; : T_1 \times T_2$ , by inversion of the rules  $\Gamma \vdash e : T_e$

1. **(S-R-1)**  $\text{return } e; , H, V \longrightarrow \text{return } e'; , H', V'$

$e, H, V \longrightarrow e', H', V'$ . So, by inductive assumption  $\Gamma \vdash e : T_e$  and  $\Gamma \vdash H', V'$ . So,  $\Gamma \vdash \text{return } e'; : T_1 \times T_2$ .

2. **(S-R-2)**  $\text{return Error}; , H, V \longrightarrow \text{Error}, H, V$ .  $\Gamma \vdash \text{Error} : T_1 \times T_2$  (**TS-C-8**).

**Case 5:**  $\Gamma \vdash \text{if } b \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$ . By inversion of the typing rule  $\Gamma \vdash b : T_e$ ,  $\Gamma \vdash S_1 : T_3 \times T_4$ , and  $\Gamma \vdash S_2 : T_5 \times T_6$

1. **(S-C-1)**  $\text{if } b \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow \text{if } b' \{S_1\} \text{ else } \{S_2\}, H', V'$

$b, H, V \longrightarrow b', H', V'$ , so by inductive assumption  $\Gamma \vdash b' : T_e$  and  $\Gamma \vdash H', V'$ . Thus,  $\Gamma \vdash \text{if } b' \{S_1\} \text{ else } \{S_2\} : T_1 \times T_2$ .

2. **(S-C-2)**  $\text{if true } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_1, H, V$ . (Either  $S_1$  is the same type as the original expression, or (**TS-C-7**) is applicable).

3. **(S-C-3)**  $\text{if false } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow S_1, H, V$ . (Either  $S_1$  is the same type as the original expression, or (**TS-C-7**) is applicable).

4. **(S-C-4)**  $\text{if Error } \{S_1\} \text{ else } \{S_2\}, H, V \longrightarrow \text{Error}, H, V$ .  $\Gamma \vdash \text{Error} : T_1 \times T_2$  (**TS-C-8**)

Figure 107: Preservation 2 Part 2 C

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**Case 6:**  $\Gamma \vdash \text{while } b \{S_1\} : T_1 \times T_2$

1. **(S-C-6)**  $\text{while } b \{St\}, H, V \longrightarrow \text{if } b \{St \text{ while } b \{St\}\} \text{ else } \{St\}, H, V$

Since they share the same condition b and the same statement St,  
 $\Gamma \vdash \text{if } b \{St \text{ while } b \{St\}\} \text{ else } \{St\} : T_1 \times T_2$ .

**Case 7:**  $\Gamma \vdash e_1.id = e_2 : T \times \text{void}$ ,  $\Gamma \vdash e_1 : t_1$ ,  $\Gamma \vdash e_2 : t_2$

1. **(S-S-A-1)**  $e_1.id = e_2, H, V \longrightarrow e'_1.id = e_2, H', V'$

$e_1, H, V \longrightarrow e'_1, H', V'$  , by inductive assumption,  $\Gamma \vdash e'_1 : t_1$  and  $\Gamma \vdash H', V'$ .  
 So,  $\Gamma \vdash e'_1.id = e_2 : T \times \text{void}$ .

2. **(S-S-A-2)**  $*\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e, H, V \longrightarrow *\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e', H', V'$

$e_2, H, V \longrightarrow e'_2, H', V'$ , by inductive assumption,  $\Gamma \vdash e'_2 : t_2$  and  $\Gamma \vdash H', V'$ .  
 So,  $\Gamma \vdash *\text{ref}(id', S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id = e' : T \times \text{void}$ .

3. **(S-S-A-3)**  $*\text{ref}(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = v, H, V \longrightarrow \_, H', V'$

$\Gamma \vdash \_ : T \times \text{void}$  (**Underscore Lemma**). Since  $\Gamma \vdash H, H(l_i) = v'$  and  $\Gamma \vdash v' : t_i$ . By inversion of the typing rules,  $H(l) = v$  and  $\Gamma \vdash v : t_i$ .  $V' = V[id/l]$ , so  $\Gamma \vdash H', V'$ .

4. **(S-S-A-4)**  $*\text{ref}(id, S_{id}\{a_1 : t_1 = l_1, a_2 : t_2 = l_2, \dots a_n : t_n = l_n\}).id' = \text{ref}\{id'', l\}, H, V \longrightarrow \_, H', V'$

$\Gamma \vdash \_ : T \times \text{void}$  (**Underscore Lemma**). Since  $\Gamma \vdash H, H(l_i) = v'$  and  $\Gamma \vdash v' : t_i$ . By inversion of the typing rules  $\Gamma \vdash v : t_i$ , also  $H' = H[v/l]$ , so  $\Gamma \vdash H', V'$

5. **(S-S-A-4)**  $v.id' = v, H, V \longrightarrow \text{Error}, H, V$   $\Gamma \vdash \text{Error} : T \times \text{void}$ , (**TS-C-8**).

**Case 8:**  $\Gamma \vdash \text{Global Definitions } St$

1. **(S-G-1)**  $\text{Global Definitions } St \longrightarrow St'$  (**Substitution Assumption**)

**Case 9:**  $\Gamma \vdash e; : \alpha \times \text{void}$ . By inversion of the rules,  $\Gamma \vdash e : t$

1. **(S-O-1)**  $e; : H \longrightarrow e'; : H', V'$  : By inductive assumption,  $\Gamma \vdash e : t$ , and  $\Gamma \vdash H', V'$ , so  $\Gamma \vdash e; : \alpha \times \text{void}$ .

2.  $v; : H, V \longrightarrow \_, H, V$   $\Gamma \vdash \_ : \alpha \times \text{void}$ . (**Underscore Lemma**)

3.  $\text{Error}; : H, V \longrightarrow \text{Error}, H, V$   $\Gamma \vdash \text{Error} : \alpha \times \text{void}$ . (**TS-C-8**)

Figure 108: Preservation 2 Part 2 D

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**Case 10:**  $\Gamma \vdash \text{free}(id); : \alpha \times \text{void}$

1. (**S-O-4**)  $\text{free}(id);, H, V \longrightarrow \_, H', V'$  Since  $id \notin R$ ,  $\Gamma \vdash H', V'$ .
2. (**S-O-5**)  $\text{free}(id);, H, V \longrightarrow \text{Error}, H, V$ .  $\Gamma \vdash \text{Error} : \alpha \times \text{void}$  (**TS-C-8**)

Figure 109: Preservation 2 Part 2 E

## 9 Concluding Theorems

### Theorem 9.1 Safe Expression Soundness

If  $\vdash E : t$  and  $t$  is safe,  $E$  is an integer, a boolean, a reference or structure literal, or  $E, H, V \rightarrow E', H', V'$ , and  $\vdash E'$  and  $\vdash H', V'$ .

Proof: by (**Progress 1**) (Part 1), if  $\vdash E : t$  and  $E$  is safe,  $E$  is an integer, a boolean, a reference or structure literal, or  $E, H, V \rightarrow E', H', V'$ . By (**Preservation 1**) (Part 1)  $\vdash E' : t$  and  $\vdash H', V'$ .

### Theorem 9.2 Safe Statement Soundness

If  $\vdash E : void \times T$ , then  $E$  is  $\underline{\ }$ , or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ , and  $\vdash E : void \times T$ .

Proof: by (**Progress 1**) (Part 2), if  $\vdash E : void \times T$ , then  $E$  is  $\underline{\ }$ , or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ . By (**Preservation 1**) (Part 2)  $\vdash E' : void \times T$  and  $\vdash H', V'$ .

### Theorem 9.3 Unsafe Expression Soundness

If  $\vdash E : t$  and  $t$  is unsafe,  $E$  is an integer, a boolean, a reference or structure literal, Error, or  $E, H, V \rightarrow E', H', V'$ , and  $\vdash E'$  and  $\vdash H', V'$ .

Proof: by (**Progress 1**) (Part 1), if  $\vdash E : t$  and  $E$  is safe,  $E$  is an integer, a boolean, a reference or structure literal, Error, or  $E, H, V \rightarrow E', H', V'$ . By (**Preservation 1**) (Part 1)  $\vdash E' : t$  and  $\vdash H', V'$ .

### Theorem 9.4 Unsafe Statement Soundness

If  $\vdash E : \alpha \times T$ , then  $E$  is  $\underline{\ }$ , Error, or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ .

Proof: by (**Progress 1**) (Part 2), if  $\vdash E : \alpha \times T$ , then  $E$  is  $\underline{\ }$ , or there exists some  $E'$ , such that  $E, H, V \rightarrow E', H', V'$ . By (**Preservation 1**) (Part 2)  $\vdash E' : \alpha \times T$  and  $\vdash H', V'$ .

Figure 110: Theorems 2

### Theorem 9.5 Soundness

$\vdash E : T$ , where  $T$  is any type derivable by the typing rules, then  $E$  is an integer, boolean, reference or structure literal, Error,  $\perp$  or  $E, H, V \rightarrow E', H', V'$  and  $\vdash E : T$ .

Proof:  $T$  is either some safe  $t$ , an unsafe  $t$ ,  $void \times T'$  or  $\alpha \times T'$ , so by **(Safe Expression Soundness)**, **(Safe Statement Soundness)**, **(Safe Expression Soundness)** or **(Safe Statement Soundness)**,  $E$  is an integer, boolean, reference or structure literal, Error,  $\perp$  or  $E, H, V \rightarrow E', H', V'$  and  $\vdash E : T$

### Theorem 9.6 Safety

If  $\vdash E : t$  and  $t$  is safe or  $\vdash E : void \times T$ , then  $E$  is an integer, a boolean, a safe reference or structure literal, or  $E, H, V \rightarrow E', H', V'$  and  $\vdash E : t$  or  $\vdash E : void \times T$  (depending on the initial type of  $E$ ) and  $\vdash H', V'$ .

Proof: If  $\vdash E : t$ , **(Soundness 1)**, and if  $\vdash E : void \times T$ , **(Soundness 2)**.

Figure 111: Theorems 2

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